

## §10.7 The Ratio and Root Tests

Here are two more tests to determine if a series converges.

### Theorem 10.20 (Ratio Test)

Given a series  $\sum a_k$ , suppose  $\lim_{k \rightarrow \infty} \left| \frac{a_{k+1}}{a_k} \right| = r$ .

- ① If  $r < 1$ , the series converges absolutely
- ② If  $r > 1$ , the series diverges
- ③ If  $r = 1$ , the test is inconclusive

Why it works. Suppose  $\lim_{k \rightarrow \infty} \left| \frac{a_{k+1}}{a_k} \right| = r$ . Then

$$\left| \frac{a_{k+1}}{a_k} \right| = r \implies \frac{|a_{k+1}|}{|a_k|} \approx r \text{ for large } k.$$

$$\text{So } |a_{k+1}| \approx r |a_k|.$$

Hence  $\sum |a_k|$  acts like a geometric series with ratio  $r$ .

The case  $r = 1$  is inconclusive because, for instance

•  $1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots$  diverges but  $\lim_{k \rightarrow \infty} \left| \frac{a_{k+1}}{a_k} \right| = \lim_{k \rightarrow \infty} \frac{1}{\frac{k+1}{k}} = 1$ .

•  $1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \dots$  converges but  $\lim_{k \rightarrow \infty} \left| \frac{a_{k+1}}{a_k} \right| = 1$ .

Example Does  $\sum_{k=1}^{\infty} \frac{5^k}{k!}$  converge?

$$\lim_{k \rightarrow \infty} \left| \frac{a_{k+1}}{a_k} \right| = \lim_{k \rightarrow \infty} \frac{5^{k+1} / (k+1)!}{5^k / k!} = \lim_{k \rightarrow \infty} \frac{5^{k+1}}{(k+1)!} \cdot \frac{k!}{5^k}$$
$$= \lim_{k \rightarrow \infty} \frac{5}{k+1} = \boxed{0} \quad \text{Series converges by ratio test!}$$

Ex Does  $\sum_{k=1}^{\infty} \frac{2(-1)^k}{k^3 + e^k}$  converge?

$$\begin{aligned} \lim_{k \rightarrow \infty} \left| \frac{\frac{2(-1)^{k+1}}{(k+1)^3 + e^{k+1}}}{\frac{2(-1)^k}{k^3 + e^k}} \right| &= \lim_{k \rightarrow \infty} \frac{\frac{2}{(k+1)^3 + e^{k+1}}}{\frac{2}{k^3 + e^k}} \\ &= \lim_{k \rightarrow \infty} \frac{k^3 + e^k}{(k+1)^3 + e^{k+1}} = \lim_{k \rightarrow \infty} \frac{3k^2 + e^k}{3(k+1)^2 + e^{k+1}} \quad \left. \begin{array}{l} \text{L'Hopital} \\ \times 4 \end{array} \right\} \\ &= \lim_{k \rightarrow \infty} \frac{6k + e^k}{6(k+1) + e^{k+1}} = \lim_{k \rightarrow \infty} \frac{6 + e^k}{6 + e^{k+1}} \\ &= \lim_{k \rightarrow \infty} \frac{e^k}{e^{k+1}} = \frac{1}{e} < 1 \quad \text{Ans: } \boxed{\text{It converges!}} \end{aligned}$$

### Theorem 10.21 (Root Test)

Given a series  $\sum a_k$ , suppose  $\lim_{k \rightarrow \infty} \sqrt[k]{|a_k|} = r$

- ① If  $r < 1$  the series converges absolutely
- ② If  $r > 1$  the series diverges
- ③ If  $r = 1$  test is inconclusive.

Why it works: Suppose  $\lim_{k \rightarrow \infty} \sqrt[k]{|a_k|} = r$

Then  $\sqrt[k]{|a_k|} \approx r$  for large  $k$ .

Hence  $|a_k| \approx r^k$  so  $\sum |a_k| \approx \sum r^k$

acts like a geometric series with radius  $r$ .

Example  $\sum_{k=1}^{\infty} \left( \frac{1+e^k}{2e^k-1} \right)^k$

$$\lim_{k \rightarrow \infty} \sqrt[k]{|a_k|} = \lim_{k \rightarrow \infty} \sqrt[k]{\left( \frac{1+e^k}{2e^k-1} \right)^k}$$

$$= \lim_{k \rightarrow \infty} \frac{1+e^k}{2e^k-1} = \lim_{k \rightarrow \infty} \frac{e^k}{2e^k} = \frac{1}{2} < 1$$

Series converges!