

# Sorting a Permutation by Cut-and-Paste Moves

Daniel W. Cranston

Virginia Commonwealth University

[dcransto@dimacs.rutgers.edu](mailto:dcransto@dimacs.rutgers.edu)

Joint with Hal Sudborough and Doug West.

Discrete Math Minisymposium, MathFest

6 August 2009

## Definitions and an Example

**Def.** **cut-and-paste move**: cut out a substring, reverse it if you want, paste it into the permutation anywhere

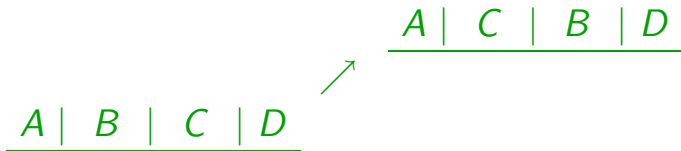
## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

A | B | C | D

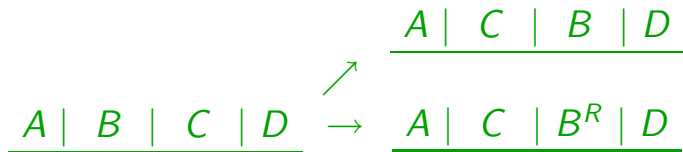
## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere



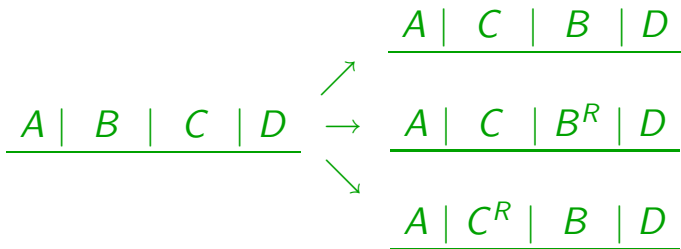
## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere



## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere



## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$1 \ 2 \ 13 \ 7 \ 4 \ 10 \ 9 \ 5 \ 3 \ 6 \ 8 \ 12 \ 11$$



## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$1 \ 2 \ 13 \ 7 \ 4 \ 10 \ 9 \ 5 \ 3 \ 6 \ 8 \ \underline{12 \ 11}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{cccccccccccc} 1 & 2 & 13 & 7 & 4 & 10 & 9 & 5 & 3 & 6 & 8 & \underline{12} & \underline{11} \\ 1 & 2 & 13 & 7 & 4 & \underline{12} & \underline{11} & 10 & 9 & 5 & 3 & 6 & 8 \end{array}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{cccccccccccc} 1 & 2 & 13 & 7 & 4 & 10 & 9 & 5 & 3 & 6 & 8 & \underline{12} & \underline{11} \\ 1 & 2 & 13 & 7 & 4 & \underline{12} & \underline{11} & 10 & 9 & 5 & 3 & \underline{6} & \underline{8} \end{array}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{cccccccccccc} 1 & 2 & 13 & 7 & 4 & 10 & 9 & 5 & 3 & 6 & 8 & \underline{12} & \underline{11} \\ 1 & 2 & 13 & 7 & 4 & \overline{12} & \overline{11} & 10 & 9 & 5 & 3 & \underline{6} & \underline{8} \\ 1 & 2 & 13 & 7 & 4 & 12 & 11 & 10 & 9 & \overline{8} & \overline{6} & 5 & 3 \end{array}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{l} 1 \ 2 \ 13 \ 7 \ 4 \ 10 \ 9 \ 5 \ 3 \ 6 \ 8 \ \underline{12 \ 11} \\ 1 \ 2 \ 13 \ 7 \ 4 \ \underline{12 \ 11} \ 10 \ 9 \ 5 \ 3 \ \underline{6 \ 8} \\ 1 \ 2 \ 13 \ 7 \ 4 \ \underline{12 \ 11 \ 10 \ 9 \ 8} \ 6 \ 5 \ 3 \end{array}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{cccccccccccc} 1 & 2 & 13 & 7 & 4 & 10 & 9 & 5 & 3 & 6 & 8 & \underline{12} & \underline{11} \\ 1 & 2 & 13 & 7 & 4 & \underline{12} & \underline{11} & 10 & 9 & 5 & 3 & \underline{6} & \underline{8} \\ 1 & 2 & 13 & 7 & 4 & \underline{12} & \underline{11} & 10 & 9 & \underline{8} & \underline{6} & 5 & 3 \\ 1 & 2 & \underline{8} & \underline{9} & \underline{10} & \underline{11} & \underline{12} & 13 & 7 & 4 & 6 & 5 & 3 \end{array}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{cccccccccccc} 1 & 2 & 13 & 7 & 4 & 10 & 9 & 5 & 3 & 6 & 8 & \underline{12} & \underline{11} \\ 1 & 2 & 13 & 7 & 4 & \overline{12} & \overline{11} & 10 & 9 & 5 & 3 & \underline{6} & \underline{8} \\ 1 & 2 & 13 & 7 & 4 & \underline{12} & \underline{11} & 10 & 9 & \overline{8} & \overline{6} & 5 & 3 \\ 1 & 2 & \overline{8} & \overline{9} & 10 & 11 & 12 & 13 & \underline{7} & \underline{4} & \underline{6} & \underline{5} & \underline{3} \end{array}$$

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

1 2 13 7 4 10 9 5 3 6 8 12 11  
1 2 13 7 4 12 11 10 9 5 3 6 8  
1 2 13 7 4 12 11 10 9 8 6 5 3  
1 2 8 9 10 11 12 13 7 4 6 5 3  
1 2 3 5 6 4 7 8 9 10 11 12 13



## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

1 2 13 7 4 10 9 5 3 6 8 12 11  
1 2 13 7 4 12 11 10 9 5 3 6 8  
1 2 13 7 4 12 11 10 9 8 6 5 3  
1 2 8 9 10 11 12 13 7 4 6 5 3  
1 2 3 5 6 4 7 8 9 10 11 12 13

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

1 2 13 7 4 10 9 5 3 6 8 12 11  
1 2 13 7 4 12 11 10 9 5 3 6 8  
1 2 13 7 4 12 11 10 9 8 6 5 3  
1 2 8 9 10 11 12 13 7 4 6 5 3  
1 2 3 5 6 4 7 8 9 10 11 12 13  
1 2 3 4 5 6 7 8 9 10 11 12 13

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

1 2 13 7 4 10 9 5 3 6 8 12 11  
1 2 13 7 4 12 11 10 9 5 3 6 8  
1 2 13 7 4 12 11 10 9 8 6 5 3  
1 2 8 9 10 11 12 13 7 4 6 5 3  
1 2 3 5 6 4 7 8 9 10 11 12 13  
1 2 3 4 5 6 7 8 9 10 11 12 13

## Definitions and an Example

**Def.** **cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

1 2 13 7 4 10 9 5 3 6 8 12 11  
1 2 13 7 4 12 11 10 9 5 3 6 8  
1 2 13 7 4 12 11 10 9 8 6 5 3  
1 2 8 9 10 11 12 13 7 4 6 5 3  
1 2 3 5 6 4 7 8 9 10 11 12 13  
1 2 3 4 5 6 7 8 9 10 11 12 13

**Def.**  $g(n)$ : max # of moves to sort a permutation of  $\{1, 2, \dots, n\}$

## Definitions and an Example

**Def.** **cut-and-paste move**: cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{l} 1 \ 2 \ 13 \ 7 \ 4 \ 10 \ 9 \ 5 \ 3 \ 6 \ 8 \ \underline{12 \ 11} \\ 1 \ 2 \ 13 \ 7 \ 4 \ \overline{12 \ 11} \ 10 \ 9 \ 5 \ 3 \ \underline{6 \ 8} \\ 1 \ 2 \ 13 \ 7 \ 4 \ \underline{12 \ 11} \ 10 \ 9 \ \overline{8 \ 6} \ 5 \ 3 \\ 1 \ 2 \ \overline{8 \ 9 \ 10 \ 11 \ 12} \ 13 \ \underline{7 \ 4 \ 6 \ 5 \ 3} \\ 1 \ 2 \ 3 \ \underline{5 \ 6} \ 4 \ 7 \ 8 \ 9 \ 10 \ 11 \ 12 \ 13 \\ 1 \ 2 \ 3 \ 4 \ \overline{5 \ 6} \ 7 \ 8 \ 9 \ 10 \ 11 \ 12 \ 13 \end{array}$$

**Def.**  $g(n)$ : max # of moves to sort a permutation of  $\{1, 2, \dots, n\}$

**Obs.**  $g(n) \leq n - 1$

## Definitions and an Example

**Def. cut-and-paste move:** cut out a substring, reverse it if you want, paste it into the permutation anywhere

$$ABCD \rightarrow ACBD \text{ or } ACB^R D \text{ or } AC^R BD$$

**Ex.**

$$\begin{array}{l} 1\ 2\ 13\ 7\ 4\ 10\ 9\ 5\ 3\ 6\ 8\ \underline{12\ 11} \\ 1\ 2\ 13\ 7\ 4\ \underline{12\ 11}\ 10\ 9\ 5\ 3\ \underline{6\ 8} \\ 1\ 2\ 13\ 7\ 4\ \underline{12\ 11\ 10\ 9}\ \overline{8\ 6}\ 5\ 3 \\ 1\ 2\ \overline{8\ 9\ 10\ 11}\ 12\ 13\ \underline{7\ 4\ 6\ 5\ 3} \\ 1\ 2\ 3\ \underline{5\ 6}\ 4\ 7\ 8\ 9\ 10\ 11\ 12\ 13 \\ 1\ 2\ 3\ 4\ \overline{5\ 6}\ 7\ 8\ 9\ 10\ 11\ 12\ 13 \end{array}$$

**Def.  $g(n)$ :** max # of moves to sort a permutation of  $\{1, 2, \dots, n\}$

**Obs.**  $g(n) \leq n - 1$  and  $g(n) \geq \lceil n/3 \rceil$

## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def.** **parity adjacency**: consecutive elements with opposite parities



## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def.** **parity adjacency**: consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

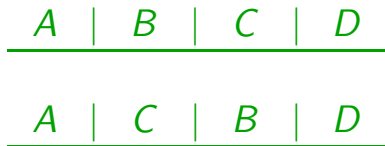
## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def.** **parity adjacency**: consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**



## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

$$\begin{array}{c} A \ 0|0 \ B \ | \ C \ | \ D \\ \hline A \ 0| \ C \ |0 \ B \ | \ D \end{array}$$

## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

$$\underline{A \ 0|0 \ B \ |1 \ C \ 1| \ D}$$

$$\underline{A \ 0|1 \ C \ 1|0 \ B \ | \ D}$$

## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

$$\underline{A \ 0|0 \ B \ 1|1 \ C \ 1| \ D}$$

$$\underline{A \ 0|1 \ C \ 1|0 \ B \ 1| \ D}$$

## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

A 0|0 B 1|1 C 1|? D

A 0|1 C 1|0 B 1|? D

# Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

A 0|0 B 1|1 C 1|? D

A 0|1 C 1|0 B 1|? D

Note that  $(0)12345\dots n$  has  $n$  parity adjacencies.

# Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

A 0|0 B 1|1 C 1|? D

A 0|1 C 1|0 B 1|? D

Note that  $(0)12345\dots n$  has  $n$  parity adjacencies.

And  $(0)24\dots 531$  has only 1 parity adjacency.



## Proving the Lower Bound

**Thm.**  $g(n) \geq \lfloor n/2 \rfloor$

**Def. parity adjacency:** consecutive elements with opposite parities

**Lem.** Each move adds at most 2 more parity adjacencies.

**Pf.**

A 0|0 B 1|1 C 1|? D

A 0|1 C 1|0 B 1|? D

Note that  $(0)12345\dots n$  has  $n$  parity adjacencies.

And  $(0)24\dots 531$  has only 1 parity adjacency.

So  $g(n) \geq \lceil (n-1)/2 \rceil = \lfloor n/2 \rfloor$ .



## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1.$

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1.$

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

**Obs.** It's easier to merge singles than blocks.

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

**Obs.** It's easier to merge singles than blocks.

**Ex.** 3 4 or 4 3 vs. 123 456 or 456 123

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

**Obs.** It's easier to merge singles than blocks.

**Ex.** 3 4 or 4 3 vs. 123 456 or 456 123

**Def. weight**  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$



## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

**Obs.** It's easier to merge singles than blocks.

**Ex.** 3 4 or 4 3 vs. 123 456 or 456 123

**Def. weight**  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

**Obs.**  $1 \leq w(\pi) \leq 2n/3$

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

**Obs.** It's easier to merge singles than blocks.

**Ex.** 3 4 or 4 3 vs. 123 456 or 456 123

**Def. weight**  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

**Obs.**  $1 \leq w(\pi) \leq 2n/3$

**Def. gain** (of a move): how much the move lowers the weight

## Motivating the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .

1 2 13 7 4 12 11 10 9 5 3 6 8

**Def. block:** maximal substring of adjacencies (size at least 2)

**Def. single:** element not in a block

**Obs.** It's easier to merge singles than blocks.

**Ex.** 3 4 or 4 3 vs. 123 456 or 456 123

**Def. weight**  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

**Obs.**  $1 \leq w(\pi) \leq 2n/3$

**Def. gain** (of a move): how much the move lowers the weight

**Idea** Always makes moves with gain at least 1.

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1.$

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1.$      $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 10 9 5 3 6 8 12 11

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 10 9 5 3 6 8 12 11

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 10 9 5 3 6 8 12 11

1 2 13 7 4 12 11 10 9 5 3 6 8



## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 10 9 5 3 6 8 12 11

1 2 13 7 4 12 11 10 9 5 3 6 8 **block**

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1	2	13	7	4	<u>10</u>	9	5	3	6	8	<u>12</u>	<u>11</u>	gain	
1	2	13	7	4	<u>12</u>	<u>11</u>	10	9	5	3	6	8	block	1

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1	2	13	7	4	<u>10</u>	9	5	3	6	8	<u>12</u>	<u>11</u>	gain	
1	2	13	7	4	<u>12</u>	<u>11</u>	10	9	5	3	<u>6</u>	<u>8</u>	block	1

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 12 11 10 9 <u>8 6</u> 5 3		

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 10 9 5 3 6 8 12 11 gain

1 2 13 7 4 12 11 10 9 5 3 6 8 block 1

1 2 13 7 4 12 11 10 9 8 6 5 3 bonus

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 12 11 10 9 <u>8 6</u> 5 3	bonus	1

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8</u> 6 5 3	bonus	1

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 7 4 6 5 3		



## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 7 4 6 5 3	absorbing	

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 7 4 6 5 3	absorbing	2/3

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13		

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8 6</u> 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

Sorting Algorithm

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8</u> <u>6 5 3</u>	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

Sorting Algorithm

Put 1 2 at the left end



## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8</u> <u>6 5 3</u>	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

### Sorting Algorithm

Put 1 2 at the left end

Repeat the following until sorted

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8</u> <u>6 5 3</u>	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

### Sorting Algorithm

Put 1 2 at the left end

Repeat the following until sorted

Case 1: make a block move

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8</u> <u>6 5 3</u>	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

### Sorting Algorithm

Put 1 2 at the left end

Repeat the following until sorted

Case 1: make a block move

Case 2: make a bonus move

## Proving the Upper Bound

**Thm.**  $g(n) \leq \lceil 2n/3 \rceil + 1$ .  $w(\pi) = \# \text{ blocks} + 2/3 \# \text{ singles}$

1 2 13 7 4 <u>10 9 5 3 6 8</u> <u>12 11</u>		gain
1 2 13 7 4 <u>12 11</u> 10 9 5 3 <u>6 8</u>	block	1
1 2 13 7 4 <u>12 11 10 9 8</u> 6 5 3	bonus	1
1 2 <u>8 9 10 11 12</u> 13 <u>7 4 6 5 3</u>	absorbing	2/3
1 2 <u>3 5 6 4 7</u> 8 9 10 11 12 13	extrabonus	4/3

### Sorting Algorithm

Put 1 2 at the left end

Repeat the following until sorted

Case 1: make a block move

Case 2: make a bonus move

Case 3: make an absorbing move,  
then an extra bonus move