

Lecture

Section 3.6 . Implicit Differentiation .

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Examples of Explicit and Implicit Functions

y is an explicit function of x
(forming an "explicit equation")

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(forming an "implicit equation")

$$y = 3(x-2)^2 + 5$$

$$x = 3(y-2)^2 + 5$$

$$y = e^{x^2+3}$$

$$x = y + e^y$$

$$y = \sin x^3$$

$$y^3 - 2x^2y^2 + 1 = 0$$

$$y = \frac{1}{x}$$

$$\frac{y}{y^2+x} = 7$$

- y is isolated and placed on one side of " $=$ "
- expression in x only is on the other side of " $=$ "
- y is "solved for"

- usually x 's and y 's are "mixed together" or y is raised to some power > 1 and there is more than one y term
- y cannot be "solved for" or solving for y will


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lead to more than one function, as with

$$x^2 + y^2 = 1 \Rightarrow$$

$$y = \pm \sqrt{1 - x^2} \Rightarrow$$

$$y = \sqrt{1 - x^2}, y = -\sqrt{1 - x^2}$$


2 different functions

NOTES:

1. With $x^2 + y^2 = 1$: y is an implicit function of x since one cannot solve for y explicitly

$$x^2 + y^2 = 1 \Rightarrow y^2 = 1 - x^2$$

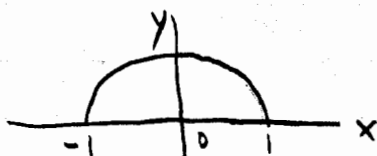
$$\Rightarrow y = \pm \sqrt{1 - x^2}$$

So y is not given explicitly, or definitely, or unambiguously.

Either y is the function

$$y = \sqrt{1 - x^2}$$

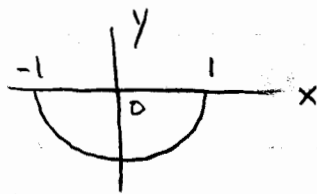
with graph



or y is the function

$$y = -\sqrt{1 - x^2}$$

with graph



2. But with $x^3 + y^3 = 1$: y is an explicit function of x since one can solve for y explicitly

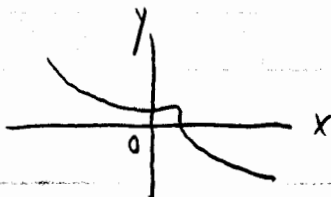
$$x^3 + y^3 = 1 \Rightarrow y^3 = 1 - x^3$$

$$\Rightarrow y = \sqrt[3]{1 - x^3}$$

So, y is given explicitly as the function

$$y = \sqrt[3]{1-x^3}$$

with graph



Implicit Differentiation

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Defn. Implicit differentiation is the method used to find the derivative of an implicit function of x , usually by taking the derivative, $\frac{d}{dx}$, of both sides of the implicit equation in x and y .

NOTES: (1) We view y as a function (unknown) of x :

$$y = y(x)$$

(2) We then use the chain rule on y :

$$\frac{d}{dx} f(y(x)) = f'(y(x)) \cdot y'(x)$$

Examples.

1. Let $x^2 + y^2 = 4$. Find y' .
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y is an implicit function of x
and $x^2 + y^2 = 4$ is an implicit equation.
We can approach this problem IN TWO DIFFERENT WAYS.

① IMPLICIT DIFFERENTIATION :

$$\frac{d}{dx}(x^2 + y^2) = \frac{d}{dx}(4) \Rightarrow$$

$$\frac{d}{dx}(x^2) + \frac{d}{dx}(y^2) = \frac{d}{dx}(4) \Rightarrow$$

$$2x + \underbrace{2yy'} = 0 \Rightarrow$$

$$\frac{d}{dx}(y(x))^2 \stackrel{\downarrow}{=} 2(y(x))^1 \cdot y'(x) = 2yy'$$

CHAIN RULE: $\frac{d}{dx} f(g(x)) = f'(g(x)) \cdot g'(x)$

(Specifically: $\frac{d}{dx} (g(x))^n = n(g(x))^{n-1} \cdot g'(x)$)

Inside function: $g(x) = y(x)$

$$g'(x) = y'(x) = y'$$

Outside function: $f(x) = x^2$

$$f'(x) = 2x^1$$

$$f'(g(x)) = f'(y(x)) = 2y(x) = 2y$$

$$2x + 2yy' = 0 \quad \Rightarrow$$

SOLVE FOR y'

$$2yy' = -2x \Rightarrow$$

$$y' = \frac{-\cancel{2}x}{\cancel{2}y} \Rightarrow$$

$$\boxed{y' = -\frac{x}{y}} \quad \left(\text{or } \frac{dy}{dx} = -\frac{x}{y} \right)$$

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② BREAK UP $x^2 + y^2 = 4$ INTO TWO FUNCTIONS AND THEN FIND DERIVATIVE OF EACH:

$$x^2 + y^2 = 4 \Rightarrow y^2 = 4 - x^2$$

$$\Rightarrow y = \pm \sqrt{4 - x^2}$$

① $y = \sqrt{4 - x^2} :$

$$y' = \frac{d}{dx} (\sqrt{4 - x^2})$$

$$= \frac{d}{dx} (4 - x^2)^{1/2}$$

CHAIN RULE: $\frac{d}{dx} (g(x))^{1/2} = \frac{1}{2} (g(x))^{-1/2} \cdot g'(x)$
 $= \frac{g'(x)}{2\sqrt{g(x)}}$

$$= \frac{1}{2} (4 - x^2)^{-1/2} \cdot \frac{d}{dx} (4 - x^2)$$

$$= \frac{1}{2} (4 - x^2)^{-1/2} (-\cancel{2}x)$$

$$\begin{aligned} &= -\frac{x}{\sqrt{4-x^2}} \\ &\quad \left| \begin{array}{l} - y = \sqrt{4-x^2} \\ \downarrow \end{array} \right. \\ &= -\frac{x}{y} \quad \checkmark \end{aligned}$$

⑥ $y = -\sqrt{4-x^2}$

$$\begin{aligned} y' &= \frac{d}{dx} \left(-\sqrt{4-x^2} \right) \\ &= -\frac{d}{dx} (4-x^2)^{1/2} \\ &= -\frac{1}{2} (4-x^2)^{-1/2} (-2x) \end{aligned}$$

$$\begin{aligned} &= \frac{x}{\sqrt{4-x^2}} \\ &\quad \left| \begin{array}{l} - y = -\sqrt{4-x^2} \Rightarrow -y = \sqrt{4-x^2} \\ \downarrow \end{array} \right. \\ &= -\frac{x}{y} \quad \checkmark \end{aligned}$$

2. (HW Exercise 1, p. 245.)

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Let $x^2 + 3x + xy = 5$. Find y' in 2 ways.

(a) IMPLICIT DIFFERENTIATION :

$$\frac{d}{dx}(x^2 + 3x + xy) = \frac{d}{dx}(5) \Rightarrow$$

$$\frac{d}{dx}(x^2) + 3\frac{d}{dx}(x) + \frac{d}{dx}(xy) = \frac{d}{dx}(5) \Rightarrow$$

$$2x + 3(1) + \left[\left(\frac{d}{dx} x \right) y + x \left(\frac{d}{dx} y \right) \right] = 0 \Rightarrow$$

$$\frac{d}{dx}(xy(x)) = \left[\left(\frac{d}{dx} x \right) y(x) + x \left(\frac{d}{dx} y(x) \right) \right]$$

PRODUCT RULE:

$$\frac{d}{dx}(f(x)g(x)) = \left(\frac{d}{dx} f(x) \right) g(x) + f(x) \left(\frac{d}{dx} g(x) \right)$$

$$f(x) = x, \quad g(x) = y(x)$$

$$2x + 3 + \left[(1)y + x \frac{dy}{dx} \right] = 0 \Rightarrow$$

$$2x + 3 + y + xy' = 0 \Rightarrow$$

SOLVE FOR y'

$$xy' = -2x - 3 - y \Rightarrow$$

$$y' = \frac{-2x - 3 - y}{x} = -2 - \frac{3}{x} - \frac{y}{x}$$

(b) SOLVE $x^2 + 3x + xy = 5$ EXPLICITLY FOR y
AND DIFFERENTIATE USUAL WAY:

$$x^2 + 3x + xy = 5 \Rightarrow$$

$$xy = 5 - x^2 - 3x \Rightarrow$$

$$y = \frac{5 - x^2 - 3x}{x} \Rightarrow$$

$$y = \frac{5}{x} - \frac{x^2}{x} - \frac{3x}{x} \Rightarrow$$

$$y = 5 \cdot \frac{1}{x} - \frac{x \cdot x}{x} - 3 \Rightarrow$$

$$y = 5x^{-1} - x - 3$$

$$\therefore y' = \frac{d}{dx}(5x^{-1} - x - 3)$$

$$= 5(-x^{-2}) - 1 - 0$$

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$$= -5x^{-2} - 1$$

$$= -5 \cdot \frac{1}{x^2} - 1$$

$$= \boxed{-\frac{5}{x^2} - 1}$$

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(c) To see that the solutions in (a) and (b) are the same, substitute

$$y = \frac{5}{x} - x - 3 \quad (\text{from (b)})$$

into

$$y' = \frac{-2x - 3 - y}{x} \quad (\text{from (a)})$$

So,

$$y' = \frac{-2x - 3 - \left(\frac{5}{x} - x - 3\right)}{x}$$

$$= \frac{-2x - \cancel{3} - \frac{5}{x} + x + \cancel{3}}{x}$$

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$$= \frac{-x - \frac{5}{x}}{x}$$

$$= \frac{-x}{x} - \frac{\frac{5}{x}}{x}$$

$$= -1 - \frac{5}{x} \cdot \frac{1}{x} \quad \left(\frac{\frac{5}{x}}{\frac{x}{1}} = \frac{5}{x} \div \frac{x}{1} = \frac{5}{x} \cdot \frac{1}{x} \right)$$

$$= -1 - \frac{5}{x^2}$$

$$= -\frac{5}{x^2} - 1$$



3. (HW Exercise 8, p. 245.)

Let $x \sin y + \cos 2y = \cos y$. Find $\frac{dy}{dx} [= y']$

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$$\frac{d}{dx}(x \sin y + \cos 2y) = \frac{d}{dx}(\cos y) \Rightarrow$$

$$\frac{d}{dx}(x \sin y) + \frac{d}{dx}(\cos 2y) = \frac{d}{dx}(\cos y) \Rightarrow$$

$$\left[\left(\frac{d}{dx} x \right) \sin y + x \left(\frac{d}{dx} \sin y \right) \right] + \frac{d}{dx}(\cos 2y) = \frac{d}{dx}(\cos y) \Rightarrow$$

$$\frac{d}{dx}(x \sin y(x)) = \left[\left(\frac{d}{dx} x \right) \sin y(x) + x \left(\frac{d}{dx} \sin y(x) \right) \right]$$

PRODUCT RULE:

$$\frac{d}{dx}(f(x)g(x)) = \left(\frac{d}{dx} f(x) \right) g(x) + f(x) \left(\frac{d}{dx} g(x) \right)$$

$$f(x) = x, \quad g(x) = \sin y(x)$$

$$\left[(1) \sin y + x (\cos y) y' \right] + 2(-\sin 2y) y' = (-\sin y) y' \Rightarrow$$

$$\frac{d}{dx}(\sin y(x)) = (\cos y(x)) \cdot y'(x)$$

CHAIN RULE

$$\frac{d}{dx}(\cos 2y(x)) = 2(-\sin y(x)) \cdot y'(x)$$

APPLICATION OF FORMULA

$$\frac{d}{dx} \cos 2x = 2(-\sin 2x)$$

AND CHAIN RULE

$$\frac{d}{dx}(\cos y(x)) = (-\sin y(x)) \cdot y'(x)$$

CHAIN RULE

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$$\sin y + x y' \cos y - 2y' \sin 2y = -y' \sin y \Rightarrow$$

SOLVE FOR
 y'

$$x y' \cos y - 2y' \sin 2y + y' \sin y = -\sin y \Rightarrow$$

$$y' (x \cos y - 2 \sin 2y + \sin y) = -\sin y \Rightarrow$$

$$y' = \frac{-\sin y}{x \cos y - 2 \sin 2y + \sin y}$$

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4. (HW Exercise 12, p. 245.)

Find an equation to the tangent line to the curve

$$\frac{x^2}{9} + \frac{y^2}{36} = 1 \quad [\text{ellipse}]$$

at the point

$$(x, y) = (-1, 4\sqrt{2})$$

Notation:

slope of tangent line to curve $\frac{x^2}{9} + \frac{y^2}{36} = 1$

at point $(-1, 4\sqrt{2}) = m_{\text{tan}}$

$$= \left. \frac{dy}{dx} \right|_{(-1, 4\sqrt{2})}$$

Note: $\frac{x^2}{9} + \frac{y^2}{36} = 1$ is an implicit

equation \Rightarrow must use implicit differentiation to find $\frac{dy}{dx}$ and

then need to substitute values for x and y in the formula for $\frac{dy}{dx}$.

$$\frac{d}{dx} \left(\frac{x^2}{9} + \frac{y^2}{36} \right) = \frac{d}{dx} (1) \Rightarrow$$

$$\frac{d}{dx} \left(\frac{1}{9} \cdot x^2 + \frac{1}{36} \cdot y^2 \right) = \frac{d}{dx} (1) \Rightarrow$$

$$\frac{1}{9} \frac{d}{dx} (x^2) + \frac{1}{36} \frac{d}{dx} (y^2) = \frac{d}{dx} (1) \Rightarrow$$

$$\frac{1}{9} (2x) + \frac{1}{36} (2yy') = 0 \Rightarrow$$

$$\frac{2}{9} x + \frac{1}{18} yy' = 0 \Rightarrow$$

$$\frac{1}{18} yy' = -\frac{2}{9} x \Rightarrow$$

$$y' = \frac{\frac{2}{9} x}{\frac{1}{18} y} \Rightarrow$$

$$y' = \frac{\frac{2}{9}}{\frac{1}{18}} \cdot \frac{x}{y} \Rightarrow$$

$$y' = \frac{2}{9} \cdot \frac{18}{1} \cdot \frac{x}{y} \Rightarrow$$

$$y' = \frac{4x}{y} \Rightarrow$$

$$\frac{dy}{dx} = \frac{4x}{y}$$

$$\therefore \left. \frac{dy}{dx} \right|_{(x,y)=(-1, 4\sqrt{2})} = \frac{4(-1)}{4\sqrt{2}} = \left(-\frac{1}{\sqrt{2}} \right)$$

$$\therefore m_{\text{tan}} = -\frac{1}{\sqrt{2}}, \quad (x_1, y_1) = (-1, 4\sqrt{2})$$

$$y - y_1 = m_{\text{tan}} (x - x_1) \Rightarrow$$

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$$y - 4\sqrt{2} = -\frac{1}{\sqrt{2}}(x + 1)$$

EQ. OF TANGENT LINE