

**LARSON—MATH 756—NOTES 01**  
**Independent Sets & Independence Number**

Bondy & Murty's *Graph Theory with Applications* (1976) is a very good free book with many of the theorems I would expect you to have seen before. It is available at: <http://www.iro.umontreal.ca/~hahn/IFT3545/GTWA.pdf>. This book and Diestel's more recent book (with modern theory) are linked on my web page.

1. **Notation.** We use  $V = V(G)$  for the vertex set of a graph  $G$  and  $E = E(G)$  for the edge set. The *order*  $n$  of the graph is the cardinality of  $V$  and the *size*  $m$  is the cardinality of the edge set.

$v \sim w$  for vertex  $v$  is adjacent to vertex  $w$  or, equivalently,  $vw \in E$ ;  $N(v)$  is the set of *neighbors* of  $v$ , or vertices adjacent to  $v$ .

2. **Definition.** An *independent set* in a graph is a set of vertices which are pair-wise non-adjacent. A *maximum independent set* (MIS) is a largest cardinality independent set. The *independence number*  $\alpha$  is the cardinality of an MIS.
3. **Two Hard Problems.** Find an efficient algorithm for finding an MIS or  $\alpha$  in a graph.

While this is probably not possible mathematicians do research on two related problems which can be useful tools in practice:

- (a) Find classes of graphs where it is possible to find an MIS or  $\alpha$  efficiently.
- (b) Find (upper or lower) *bounds* for  $\alpha$ .

4. **Bipartite Graphs.** A graph is *bipartite* if its vertex set  $V$  can be partitioned into independent sets  $X, Y$ . Clearly, if a graph is bipartite with bipartition  $(X, Y)$  then  $\alpha \geq \max\{|X|, |Y|\}$ .

The theory of independent sets in bipartite graphs is well-developed and is connected to matching theory for bipartite graphs.

- (a) A *matching* in a graph is a set of independent edges, that is, edges which are pair-wise non-incident. If  $M$  is a matching then a vertex incident to some edge in  $M$  is *saturated* while a vertex not incident to an edge in  $M$  is *unsaturated*. An  $M$ -alternating path is a path whose edges alternate between edges in  $M$  and not in  $M$ . An  $M$ -augmenting path is a path which is  $M$ -alternating and whose initial and final vertices are unsaturated.
- (b) **Berge's Theorem.** A matching  $M$  in a graph is maximum if and only if there are no  $M$ -augmenting paths.
- (c) The matching number  $\nu$  of a graph is the cardinality of a maximum matching.
- (d) **König's Theorem** (or the König-Egervary Theorem). For a bipartite graph,  $\alpha + \nu = n$ .