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Extinction, periodicity and multistability in a Ricker model of stage-structured populations

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ABSTRACT

We study the dynamics of a second-order difference equation that is derived from a planar Ricker model of two-stage (adult-juvenile) biological populations. We obtain sufficient conditions for global convergence to zero in the non-autonomous case yielding general conditions for extinction in the biological context. We also study the dynamics of an autonomous special case of the equation that generates multistable periodic and non-periodic orbits in the positive quadrant of the plane.

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1. Introduction

Planar systems of type

$$x_{n+1} = \sigma_{1,n}y_n + \sigma_{2,n}x_n \quad (1)$$

$$y_{n+1} = \beta_n x_n e^{\alpha_n - c_{1,n}x_n - c_{2,n}y_n} \quad (2)$$

where $\alpha_n, \beta_n, \sigma_{i,n}, c_{i,n}$ are non-negative numbers for $i = 1, 2$ and $n \geq 0$ have been used to model single-species, two-stage populations (e.g. juvenile and adult); see [4,8,9,13,19]. Early examples of stage-structured matrix models can be seen in [2,10,11], and their comprehensive treatment is given in [3]. The exponential function that defines the time and density dependent fertility rate classifies the above system as a Ricker model ([14]). The coefficients $\sigma_{i,n}$ are typically composed of the natural survival rates s_i and possibly other factors. For example, they may include harvesting parameters, as in [13,19]:

$$\sigma_i = (1 - h_i)s_i, \quad \beta = (1 - h_1)b, \quad c_1 = (1 - h_1)\gamma, \quad c_2 = 0 \quad (3)$$

All parameters in (3) are assumed to be independent of n . In this case, $h_i, s_i \in [0, 1]$, $i = 1, 2$ denote harvest rates and natural survival rates, respectively. The study in [13]

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shows that the system (1) and (2) under (3) generates a wide range of different behaviors: the occurrence of periodic and chaotic behaviour and phenomena such as bubbles and the counter-intuitive ‘hydra effect’ (an increase in harvesting yields an increase in the over-all population) are established for the autonomous system

$$\begin{aligned} x_{n+1} &= (1 - h_1)s_1y_n + (1 - h_2)s_2x_n \\ y_{n+1} &= (1 - h_1)bx_n e^{\alpha - (1-h_1)\gamma x_n}. \end{aligned}$$

Our results in this paper complement the existing literature, e.g. [1,4,6,8,9,13,19]. In the next section we obtain general results on the uniform boundedness and convergence to zero for the non-autonomous system (1) and (2). We also discuss a refinement of the convergence to zero results when the parameters of the system are periodic (simulating extinction in a periodic environment). In particular, these results show that convergence to zero occurs even if the mean value of $\sigma_{2,n}$ exceeds 1 (as in case of stocking or migrations into a population).

In Section 3 we study the dynamics of orbits for a special case of (1) and (2) in which $\sigma_{2,n} = 0$. This special case was studied with constant parameters (autonomous case) in [8] where conditions were obtained for the occurrence of a globally attracting positive fixed point as well as for the occurrence of attracting two-cycles that are not *asymptotically* stable (neither locally nor globally).

This latter issue of particular interest to us in this section. In this case, the system reduces to a second-order equation with a non-hyperbolic positive fixed point. A semiconjugate factorization of this equation is known even with variable parameters and we use it to prove the occurrence of complex dynamics, including multiple stable (or multistable) periodic and non-periodic solutions generated from different initial values. Our results not only extend the period-two result in [8] to a wider parameter range while allowing some parameters to be periodic, but they also explain the stability nature of the two-cycles observed in [8].

2. Uniform boundedness and global convergence to zero

For the system (1) and (2) we generally assume that for all $n \geq 0$:

$$\begin{aligned} \alpha_n, \beta_n, \sigma_{i,n}, c_{i,n} &\geq 0, \quad i = 1, 2 \\ \beta_n, \sigma_{1,n} &> 0 \quad \text{for infinitely many } n \end{aligned} \tag{4}$$

2.1. General results

We begin with a simple, yet useful lemma.

Lemma 1: *Let $\alpha > 0, 0 < \beta < 1$ and $x_0 \geq 0$. If for all $n \geq 0$*

$$x_{n+1} \leq \alpha + \beta x_n \tag{5}$$

then for every $\varepsilon > 0$ and all sufficiently large values of n

$$x_n \leq \frac{\alpha}{1 - \beta} + \varepsilon.$$

Proof: Let $u_0 = x_0$ and note that every solution of the linear, first-order equation $u_{n+1} = \alpha + \beta u_n$ converges to its fixed point $\alpha/(1 - \beta)$. Further,

$$\begin{aligned} x_1 &\leq \alpha + \beta x_0 = \alpha + \beta u_0 = u_1 \\ x_2 &\leq \alpha + \beta x_1 \leq \alpha + \beta u_1 = u_2 \end{aligned}$$

and by induction, $x_n \leq u_n$. Since $u_n \rightarrow \alpha/(1 - \beta)$ for every $\varepsilon > 0$ and all sufficiently large n

$$x_n \leq u_n \leq \frac{\alpha}{1 - \beta} + \varepsilon.$$

□

The following result from the literature is quoted as a lemma. See [16] for the proof and some background and references on this result which holds in a more general setting than discussed here.

Lemma 2: Let $\alpha \in (0, 1)$ and assume that the functions $f_n : [0, \infty)^{k+1} \rightarrow [0, \infty)$ satisfy the inequality

$$f_n(u_0, \dots, u_k) \leq \alpha \max\{u_0, \dots, u_k\} \tag{6}$$

for all $(u_0, \dots, u_k) \in [0, \infty)$ and all $n \geq 0$. Then every solution $\{x_n\}$ of the difference equation

$$x_{n+1} = f_n(x_n, x_{n-1}, \dots, x_{n-k}) \tag{7}$$

satisfy the inequality

$$x_n \leq \alpha^{n/(k+1)} \max\{x_0, x_{-1}, \dots, x_{-k}\}. \tag{8}$$

Note that (6) implies that $x_n = 0$ is a constant solution of (7) and further, (8) implies that this solution is globally exponentially stable.

Theorem 3: Assume that (4) holds and further, let α_n be bounded and $\limsup_{n \rightarrow \infty} \sigma_{2,n} < 1$.

- (a) If $\sigma_{1,n}$ is bounded and there is $M > 0$ such that $\beta_n \leq M c_{1,n}$ for all $n \geq 0$ then every orbit of (1) and (2) in $[0, \infty)^2$ is uniformly bounded.
- (b) If β_n is bounded and the following inequality holds then all orbits of (1) and (2) in $[0, \infty)^2$ converge to $(0, 0)$:

$$\limsup_{n \rightarrow \infty} (\sigma_{1,n} \beta_n e^{\alpha_n} + \sigma_{2,n}) < 1. \tag{9}$$

Proof:

- (a) For $u, v \geq 0$ and all $n \geq 0$ define

$$\phi_n(u, v) = \beta_n e^{\alpha_n - c_{1,n}u - c_{2,n}v}$$

If $c_{1,n} \neq 0$ for all n then elementary calculus yields

$$u\phi_n(u, v) \leq u\phi_n\left(\frac{1}{c_{1,n}}, 0\right) = \frac{\beta_n}{c_{1,n}} e^{\alpha_n - 1} \tag{10}$$

If $c_{1,n} = 0$ for some n then $\beta_n \leq M c_{1,n} = 0$ and $\phi_n(u, v) = 0$ for such n .

Next, by the hypotheses there are numbers $M_1, M_2 > 0$ and $\bar{\sigma} \in (0, 1)$ such that for all sufficiently large values of n

$$\sigma_{1,n} \leq M_1, \quad \alpha_n \leq M_2, \quad \sigma_{2,n} \leq \bar{\sigma}$$

Since $\beta_n \leq M c_{1,n}$, it follows that for $u, v \geq 0$ and all n

$$u\phi_n(u, v) \leq M e^{M_2-1} \doteq M_0$$

It follows that $y_n \leq M_0$ for $n \geq 1$ so by (1)

$$x_{n+1} \leq M_0 M_1 + \sigma_{2,n}(u, v)x_n \leq M_0 M_1 + \bar{\sigma} x_n$$

Next, applying Lemma 1 with $\varepsilon = \bar{\sigma}/(1 - \bar{\sigma})$ we obtain for all (large) n

$$0 \leq x_n \leq \frac{M_0 M_1 + \bar{\sigma}}{1 - \bar{\sigma}}$$

as claimed.

(b) If ϕ_n is as defined in (a) above then (2) implies that

$$y_n \leq \beta_n e^{\alpha_n} x_{n-1}$$

By (9) there is $\delta \in (0, 1)$ such that $\sigma_{1,n}\beta_n e^{\alpha_n} + \sigma_{2,n} \leq \delta$ for all (large) n so from (1) it follows that

$$\begin{aligned} x_{n+1} &\leq \beta_n e^{\alpha_n} \sigma_{1,n} x_{n-1} + \sigma_{2,n} x_n \\ &\leq (\sigma_{1,n} \beta_n e^{\alpha_n} + \sigma_{2,n}) \max\{x_n, x_{n-1}\} \\ &\leq \delta \max\{x_n, x_{n-1}\} \end{aligned}$$

Lemma 2 now implies that $\lim_{n \rightarrow \infty} x_n = 0$. Further, since both α_n and β_n are bounded, there is $\mu > 0$ such that $\beta_n e^{\alpha_n} \leq \mu$ for all n . Thus,

$$\lim_{n \rightarrow \infty} y_n \leq \mu \lim_{n \rightarrow \infty} x_{n-1} = 0$$

and the proof is complete. □

Remark 4:

- (1) In Part (a) of the above corollary it is more essential to have $c_{1,n} \neq 0$ than β_n be bounded. Indeed, unbounded solutions occur in the following autonomous linear system

$$\begin{aligned} x_{n+1} &= \sigma_1 y_n + \sigma_2 x_n \\ y_{n+1} &= \beta e^{\alpha} x_n \end{aligned}$$

in which $c_{1,n} = 0$ for all n and $\beta_n = \beta$ is bounded. Note that

$$x_{n+2} = \sigma_1 y_{n+1} + \sigma_2 x_{n+1} = \beta e^{\alpha} \sigma_1 x_n + \sigma_2 x_{n+1}$$

so unbounded solutions exist unless $\sigma_1 \beta e^\alpha \leq 1 - \sigma_2$.

- (2) For the autonomous system above (9) is equivalent to

$$\beta e^\alpha \frac{\sigma_1}{1 - \sigma_2} < 1$$

The left hand side of the above equation represents the fundamental net reproductive rate R_0 ; see [5,7]. For non-autonomous matrix systems, the definition of R_0 is not straightforward (see, e.g. [6] for the case where the matrix P is periodically forced). If we think of the quantity

$$\beta_n e^{\alpha_n} \frac{\sigma_{1,n}}{1 - \sigma_{2,n}}$$

as the net reproductive rate at each period n then (9) implies that the population growth rate in each period is less than 1 in the long run, a fact that in the light of the preceding discussion is not surprising (but also see Section 2.3).

- (3) The arbitrary nature of the parameters in the above theorem preserve its conclusion in the presence of low-level fluctuations in the parameters. For example, the parameters can be stochastic, i.e. random numbers that satisfy the condition in (9). These can be drawn from distributions with bounded support (for example, uniform) whose upper bounds satisfy the condition in the autonomous case discussed in Item 2 above.

2.2. Global convergence to zero with periodic parameters

Theorem 3 gives general sufficient conditions for the convergence of all non-negative orbits of the planar system to (0,0). In this section we assume that all parameters are periodic and study convergence to zero in this more restricted setting. In particular, the results in this section indicate that global convergence to zero may occur even if (9) does not hold; see Section 2.3 below. Recall from the proof of Theorem 3 that

$$x_{n+1} \leq \beta_n e^{\alpha_n} \sigma_{1,n} x_{n-1} + \sigma_{2,n} x_n. \tag{11}$$

The right hand side of the above inequality is a linear expression. Consider the linear difference equation

$$u_{n+1} = a_n u_n + b_n u_{n-1}, \quad a_{n+p_1} = a_n, \quad b_{n+p_2} = b_n \tag{12}$$

where the sequences a_n, b_n have periods p_1, p_2 that are positive integers. If $p = \text{lcm}(p_1, p_2)$ is the least common multiple of the two periods, we say that the linear difference Equation (12) is periodic with period p . We assume that

$$a_n, b_n \geq 0, \quad n = 0, 1, 2, \dots \tag{13}$$

In the biological setting, these parameters are defined as follows:

$$a_n = \sigma_{2,n}, \quad b_n = \beta_n e^{\alpha_n} \sigma_{1,n} \tag{14}$$

Of interest is the fact that the biological parameters $\alpha_n, \beta_n, \sigma_{1,n}$ need not be periodic in order for a_n, b_n to be periodic. As long as the combination of parameters $\beta_n e^{\alpha_n} \sigma_{1,n}$ is periodic along with $\sigma_{2,n}$ we obtain periodicity. This allows greater flexibility in defining some of the system parameters.

By Lemma 2 every solution of (12) converges to zero if $a_n + b_n < 1$ for all n . However, it is known that convergence to zero may occur even when $a_n + b_n$ exceeds 1 (for infinitely many n in the periodic case). We use the approach in [17] to examine the consequences of this issue when the planar system has periodic parameters. The following result is an immediate consequence of Theorem 13 in [17].

Lemma 5: *Assume that (12) has period $p \geq 1$ and δ_j, θ_j for $j = 1, 2, \dots, p$ are obtained by iteration from the real initial values*

$$\delta_0 = 0, \delta_1 = 1; \quad \theta_0 = 1, \theta_1 = 0 \tag{15}$$

Suppose that the quadratic polynomial

$$\delta_p r^2 + (\theta_p - \delta_{p+1})r - \theta_{p+1} = 0 \tag{16}$$

is proper, i.e. not $0 = 0$ and has a real root $r_1 \neq 0$. If the recurrence

$$r_{n+1} = a_n + \frac{b_n}{r_n} \tag{17}$$

generates nonzero real numbers r_2, \dots, r_p then $\{r_n\}_{n=1}^\infty$ is periodic with period p and yields a semiconjugate factorization of (12) into a pair of first order equations as follows:

$$t_{n+1} = -\frac{b_n}{r_n} t_n, \quad t_1 = u_1 - r_1 u_0 \tag{18}$$

$$u_{n+1} = r_{n+1} u_n + t_{n+1}. \tag{19}$$

For an introduction to the concept of semiconjugate factorization see [15] which also contains the application of this method to linear equations over algebraic fields. A more general application of semiconjugate factorization to linear equations in rings appears in [17].

The sequence $\{r_n\}$ that is generated by (17) is said to be an *eigensequence* of (12). Eigenvalues are constant eigensequences, since if $p = 1$ in Lemma 5 then (16) reduces to

$$r^2 - \delta_2 r - \theta_2 = 0 \quad \text{or} \quad r^2 - a_1 r - b_1 = 0$$

The last equation is recognizable as the characteristic polynomial of (12).

Each of Equations (18) and (19) readily yields a solution by iteration as follows

$$t_n = t_1 (-1)^{n-1} \left(\frac{b_1 b_2 \cdots b_{n-1}}{r_1 r_2 \cdots r_{n-1}} \right), \tag{20}$$

$$\begin{aligned} u_n &= r_n r_{n-1} \cdots r_2 u_1 + r_n r_{n-1} \cdots r_3 t_2 + \cdots + r_n t_{n-1} + t_n \\ &= r_n r_{n-1} \cdots r_2 r_1 u_0 + \sum_{i=1}^{n-1} r_n r_{n-1} \cdots r_{i+1} t_i + t_n \end{aligned} \tag{21}$$

Lemma 6: Suppose that the numbers δ_n and θ_n are defined as in Lemma 5, although here we do not assume that (12) is periodic. Then

- (a) $\theta_n = 0$ for all $n \geq 2$ if and only if $b_1 = 0$.
- (b) If (13) holds then for all $n \geq 2$

$$\delta_n \geq a_1 a_2 \cdots a_{n-1}, \quad \theta_n \geq b_1 a_2 \cdots a_{n-1} \tag{22}$$

$$\delta_{2n-1} \geq b_2 b_4 \cdots b_{2n-2}, \quad \theta_{2n} \geq b_1 b_3 \cdots b_{2n-1} \tag{23}$$

Proof:

- (a) Let $b_1 = 0$. Then $\theta_2 = b_1 = 0$ and since $\theta_1 = 0$ by definition it follows that $\theta_3 = 0$. Induction completes the proof that $\theta_n = 0$ if $n \geq 2$. The converse is obvious since $b_1 = \theta_2$.
- (b) Since $\delta_2 = a_1$ and $\theta_2 = b_1$ the stated inequalities hold for $n = 2$. If (22) is true for some $k \geq 2$ then

$$\begin{aligned} \delta_{k+1} &= a_k \delta_k + b_k \delta_{k-1} \geq a_k \delta_k \geq a_1 a_2 \cdots a_{k-1} a_k \\ \theta_{k+1} &= a_k \theta_k + b_k \theta_{k-1} \geq a_k \theta_k \geq b_1 a_2 \cdots a_{k-1} a_k \end{aligned}$$

Now, the proof is completed by induction. The proof of (23) is similar since

$$\delta_3 = a_2 \delta_2 + b_2 \delta_1 \geq b_2 \quad \text{and} \quad \theta_4 = a_3 \theta_3 + b_3 \theta_2 \geq b_3 b_1$$

and if (23) holds for some $k \geq 2$ then

$$\begin{aligned} \delta_{2k+1} &\geq b_{2k} \delta_{2k-1} \geq b_2 b_4 \cdots b_{2k-2} b_{2k} \\ \theta_{2k+2} &\geq b_{2k+1} \theta_{2k} \geq b_1 b_3 \cdots b_{2k-1} b_{2k+1} \end{aligned}$$

which establishes the induction step. □

Lemma 7: Assume that (13) holds with $a_i > 0$ for $i = 1, \dots, p$ and (12) is periodic with period $p \geq 2$. Then

- (a) Equation (12) has a positive eigensequence $\{r_n\}$ of period p .
- (b) If $b_i > 0$ for $i = 1, \dots, p$ then

$$r_1 r_2 \cdots r_p = \frac{1}{2} \left(\delta_{p+1} + \theta_p + \sqrt{(\delta_{p+1} - \theta_p)^2 + 4\delta_p \theta_{p+1}} \right) \tag{24}$$

Hence, $r_1 r_2 \cdots r_p < 1$ if

$$\delta_p \theta_{p+1} < (1 - \delta_{p+1})(1 - \theta_p) \tag{25}$$

(c) If $b_i < 1$ for $i = 1, \dots, p$ then $r_1 r_2 \cdots r_p > b_1 b_2 \cdots b_p$.

Proof:

(a) Lemma 6 shows that $\delta_i > 0$ for $i = 2, \dots, p + 1$. Now, either (i) $b_1 > 0$ or (ii) $b_1 = 0$. In case (i), the root r^+ of the quadratic polynomial (16) is positive since by Lemma 6 $\theta_{p+1} > 0$ and thus

$$r^+ = \frac{\delta_{p+1} - \theta_p + \sqrt{(\delta_{p+1} - \theta_p)^2 + 4\delta_p \theta_{p+1}}}{2\delta_p} > \frac{\delta_{p+1} - \theta_p + |\delta_{p+1} - \theta_p|}{2\delta_p} \geq 0.$$

If $r_1 = r^+$ then from (17) $r_i = a_{i-1} + b_{i-1}/r_{i-1} \geq a_{i-1} > 0$ for $i = 2, \dots, p + 1$. Thus by Lemma 5, (12) has a unitary (in fact, positive) eigensequence of period p . If $b_1 = 0$ then by Lemma 6 $\theta_p = \theta_{p+1} = 0$ and (16) reduces to

$$\delta_p r^2 - \delta_{p+1} r = 0$$

which has a root $r^+ = \delta_{p+1}/\delta_p > 0$. As in the previous case it follows that (12) has a positive eigensequence of period p .

(b) To establish (24), let $r_1 = r^+$ and note that (16) can be written as

$$r_1 = \frac{\delta_{p+1} r_1 + \theta_{p+1}}{\delta_p r_1 + \theta_p} \tag{26}$$

Since $\{r_n\}$ has period p , $r_{p+1} = r_1$ so from (17) and the definition of the numbers δ_n and θ_n it follows that

$$\begin{aligned} a_p + \frac{b_p}{r_p} &= r_{p+1} = \frac{\delta_{p+1} r_1 + \theta_{p+1}}{\delta_p r_1 + \theta_p} = \frac{(a_p \delta_p + b_p \delta_{p-1}) r_1 + a_p \theta_p + b_p \theta_{p-1}}{\delta_p r_1 + \theta_p} \\ &= \frac{a_p (\delta_p r_1 + \theta_p) + b_p (\delta_{p-1} r_1 + \theta_{p-1})}{\delta_p r_1 + \theta_p} \\ &= a_p + \frac{b_p}{(\delta_p r_1 + \theta_p) / (\delta_{p-1} r_1 + \theta_{p-1})} \end{aligned}$$

Since $b_p \neq 0$ it follows that

$$r_p = \frac{\delta_p r_1 + \theta_p}{\delta_{p-1} r_1 + \theta_{p-1}}$$

We claim that if $b_i \neq 0$ for $i = 1, \dots, p$ then

$$r_{p-j} = \frac{\delta_{p-j} r_1 + \theta_{p-j}}{\delta_{p-j-1} r_1 + \theta_{p-j-1}}, \quad j = 0, 1, \dots, p - 2 \tag{27}$$

This claim is easily seen to be true by induction; we showed that it is true for $j = 0$ and if (27) holds for some j then by (17)

$$\begin{aligned} a_{p-j-1} + \frac{b_{p-j-1}}{r_{p-j-1}} &= r_{p-j} \\ &= \frac{(a_{p-j-1}\delta_{p-j-1} + b_{p-j-1}\delta_{p-j-2})r_1 + (a_{p-j-1}\theta_{p-j-1} + b_{p-j-1}\theta_{p-j-2})}{\delta_{p-j-1}r_1 + \theta_{p-j-1}} \\ &= \frac{a_{p-j-1}(\delta_{p-j-1}r_1 + \theta_{p-j-1}) + b_{p-j-1}(\delta_{p-j-2}r_1 + \theta_{p-j-2})}{\delta_{p-j-1}r_1 + \theta_{p-j-1}} \\ &= a_{p-j-1} + \frac{b_{p-j-1}(\delta_{p-j-2}r_1 + \theta_{p-j-2})}{\delta_{p-j-1}r_1 + \theta_{p-j-1}} \end{aligned}$$

from which it follows that

$$r_{p-j-1} = \frac{\delta_{p-j-1}r_1 + \theta_{p-j-1}}{\delta_{p-j-2}r_1 + \theta_{p-j-2}}$$

and the induction argument is complete. Now, using (27) we obtain

$$r_p r_{p-1} \cdots r_2 r_1 = \frac{\delta_p r_1 + \theta_p}{\delta_{p-1} r_1 + \theta_{p-1}} \frac{\delta_{p-1} r_1 + \theta_{p-1}}{\delta_{p-2} r_1 + \theta_{p-2}} \cdots \frac{\delta_2 r_1 + \theta_2}{\delta_1 r_1 + \theta_1} r_1 = \delta_p r_1 + \theta_p \quad (28)$$

Given that $r_1 = r^+$ (28) implies that

$$\begin{aligned} r_1 r_2 \cdots r_p &= \delta_p \frac{\delta_{p+1} - \theta_p + \sqrt{(\delta_{p+1} - \theta_p)^2 + 4\delta_p \theta_{p+1}}}{2\delta_p} + \theta_p \\ &= \frac{1}{2} \left(\delta_{p+1} + \theta_p + \sqrt{(\delta_{p+1} - \theta_p)^2 + 4\delta_p \theta_{p+1}} \right) \end{aligned}$$

and (24) is obtained. Hence, $r_1 r_2 \cdots r_p < 1$ if

$$\delta_{p+1} + \theta_p + \sqrt{(\delta_{p+1} - \theta_p)^2 + 4\delta_p \theta_{p+1}} < 2$$

Upon rearranging terms and squaring:

$$(\delta_{p+1} - \theta_p)^2 + 4\delta_p \theta_{p+1} < 4 - 4(\delta_{p+1} + \theta_p) + (\delta_{p+1} + \theta_p)^2$$

which reduces to (25) after straightforward algebraic manipulations.

(c) First, assume that p is odd. Then by (23)

$$\delta_p \theta_{p+1} = (b_2 b_4 \cdots b_{p-1})(b_1 b_3 \cdots b_p) = b_1 b_2 \cdots b_p$$

so from (24)

$$r_1 r_2 \cdots r_p > \sqrt{\delta_p \theta_{p+1}} = \sqrt{b_1 b_2 \cdots b_p}$$

If $b_i < 1$ for $i = 1, \dots, p$ then $b_1 b_2 \cdots b_p < 1$ so $\sqrt{b_1 b_2 \cdots b_p} > b_1 b_2 \cdots b_p$ as required. Now let p be even. Then from (24) and (23)

$$r_1 r_2 \cdots r_p > \frac{\delta_{p+1} + \theta_p}{2} \geq \frac{b_2 b_4 \cdots b_p + b_1 b_3 \cdots b_{p-1}}{2}$$

If $b_i < 1$ for $i = 1, \dots, p$ then $b_2 b_4 \cdots b_p \geq b_1 b_2 \cdots b_p$ and $b_1 b_3 \cdots b_{p-1} \geq b_1 b_2 \cdots b_p$ and the proof is complete. \square

Theorem 8: Assume that the sequences $\beta_n e^{\alpha_n} \sigma_{1,n}$ and $\sigma_{2,n}$ are strictly positive and periodic and let p be the least common multiple of their periods. All non-negative orbits of (1) and (2) converge to $(0,0)$ if $\beta_i e^{\alpha_i} \sigma_{1,i} < 1$ for $i = 1, \dots, p$ and (25) holds.

Proof: Let $\{u_n\}$ be a solution of the linear Equation (12) with a_n, b_n defined by (14). If $u_0 = x_0$ and $u_1 = x_1$ then by (11)

$$\begin{aligned} x_2 &\leq \beta_0 e^{\alpha_0} \sigma_{1,1} x_0 + \sigma_{2,1} x_1 = \beta_0 e^{\alpha_0} \sigma_{1,1} u_0 + \sigma_{2,1} u_1 = u_2 \\ x_3 &\leq \beta_1 e^{\alpha_1} \sigma_{1,2} x_2 + \sigma_{2,2} x_2 \leq \beta_1 e^{\alpha_1} \sigma_{1,2} u_1 + \sigma_{2,2} u_2 = u_3 \end{aligned}$$

By induction it follows that $x_n \leq u_n$. If (25) holds then by Lemma 7, $\lim_{n \rightarrow \infty} u_n = 0$ so $\{x_n\}$ converges to 0. Further, $\lim_{n \rightarrow \infty} y_n = 0$ as in the proof of Theorem 3 and the proof is complete. \square

Recall that the individual sequences $\alpha_n, \beta_n, \sigma_{1,n}$ need not be periodic; see the note following (14). Therefore, Theorem 8 applies to the system (1) and (2) even if the system itself is not periodic as long as the combination $\beta_n e^{\alpha_n} \sigma_{1,n}$ of parameters is periodic along with $\sigma_{2,n}$.

2.3. Stocking strategies that do not prevent extinction

Condition (25) and Theorem 8 have some interesting consequences. In particular, in a periodic environment Theorem 8 applies where Theorem 3 may not. Recalling Remark 4, Theorem 3 is a general expression of the fact that when the net reproductive rate $R_0 < 1$ in the long run then extinction occurs. Theorem 8 shows that in a periodic environment, this restriction maybe replaced with (25), which may include boosts to the adult population through stocking or migrations.

Condition (25) involves the numbers δ_j, θ_j rather than the coefficients of (12) directly. To illustrate the biological significance of (25) and of Theorem 8 with regard to extinction in a periodic environment when (9) does not hold, consider the case of period $p = 2$ where the role of a_i, b_i is more apparent. Inequality (25) in this case is

$$\begin{aligned} \delta_2 \theta_3 &< (1 - \delta_3)(1 - \theta_2) \\ a_1 a_2 b_1 &< (1 - b_2 - a_1 a_2)(1 - b_1) \end{aligned}$$

Simple manipulations reduce the last inequality to

$$a_1 a_2 < (1 - b_1)(1 - b_2). \tag{29}$$

In this form, it is easy to see the significance of (25) with regard to extinction. For if $b_1, b_2 < 1$ then (29) holds even if $a_1 > 1$ or $a_2 > 1$ (recall that these inequalities may occur through stocking or migrations of adults into the system) so global convergence to $(0,0)$ may occur when (9) does not hold. Further, it is possible that (29) holds, together with arbitrarily large mean value

$$\frac{a_1 + a_2}{2} > 1 \tag{30}$$

if, say $a_1 \rightarrow 0$ as $a_2 \rightarrow \infty$. In population models this implies that if (29) holds with

$$a_i = \sigma_{2,i}, \quad b_i = \beta_i e^{\alpha_i} \sigma_{1,i} \quad i = 1, 2$$

then extinction may still occur after stocking the adult population so that the mean value of the composite parameter $\sigma_{2,n}$ exceeds unity by a wide margin.

3. Complex multistable behaviour

In this section we consider the reduced system

$$x_{n+1} = \sigma_{1,n} y_n \tag{31}$$

$$y_{n+1} = \beta_n x_n e^{\alpha_n - c_{1,n} x_n - c_{2,n} y_n} \tag{32}$$

where we assume that

$$\sigma_{1,n}, c_{1,n}, c_{2,n}, \beta_n > 0, \quad \alpha_n \geq 0. \tag{33}$$

In the context of stage-structured models the assumption $\sigma_{2,n} = 0$ applies in particular, to the case of a semelparous species, i.e. an organism that reproduces only once before death. Additional interpretations in terms of harvesting, migrations or other factors may be possible if $\sigma_{2,n}$ includes additional factors beyond the natural adult survival rate.

The system (31) and (32) with $c_{2,n} = 0$ has been studied in the literature; for instance, an autonomous version is discussed in [13,19]. The assumption $c_{2,n} > 0$, which adds greater inter-species competition into the stage-structured model, leads to theoretical issues that are not well-understood. We proceed by folding the system (31) and (32) to a second-order difference equation. The process here is simple and self-contained but for a broader introduction and other applications of folding to the study of discrete planar systems we refer to [18].

From (31) we obtain $y_n = x_{n+1}/\sigma_{1,n}$. Now using (31) and (32) we obtain:

$$x_{n+2} = \sigma_{1,n+1} \beta_n x_n e^{\alpha_n - c_{1,n} x_n - c_{2,n} y_n} = \sigma_{1,n} \beta_n x_n e^{\alpha_n - c_{1,n} x_n - (c_{2,n}/\sigma_{1,n}) x_{n+1}}$$

This can be written more succinctly as

$$x_{n+1} = x_{n-1} e^{a_n - c_{1,n} x_{n-1} - (c_{2,n}/\sigma_{1,n}) x_n} \tag{34}$$

where

$$a_n = \alpha_n + \ln(\beta_n \sigma_{1,n+1}).$$

3.1. Fixed points, global stability

It is useful to start by examining the fixed points of (34) when all parameters are constants, i.e. if (31) and (32) is an autonomous system. Then (34) takes the form of the autonomous difference equation:

$$x_{n+1} = x_{n-1} e^{a - c_1 x_{n-1} - (c_2/\sigma_1) x_n} \tag{35}$$

This equation clearly has a fixed point at 0. The following is consequence of Theorem 3(b).

Corollary 9: Assume that the system (31) and (32) is autonomous, i.e. $\alpha_n = \alpha$, $\beta_n = \beta$, $\sigma_{1,n} = \sigma_1$, $c_{1,n} = c_1$ and $c_{2,n} = c_2$ are constants for all n .

- (a) If $a = \alpha + \ln(\beta\sigma_1) < 0$ then 0 is the unique fixed point of (35) in $[0, \infty)$ and all positive solutions of (35) converge to zero.
- (b) The eigenvalues of the linearization of (35) at 0 are $\pm e^{a/2}$; thus, 0 is locally asymptotically stable if $a < 0$.

If $a > 0$ then (35) has exactly two fixed points: 0 and a positive fixed point

$$\bar{x} = \frac{a\sigma_1}{c_1\sigma_1 + c_2}.$$

Substituting $r_n = c_1x_n$ in (35) yields

$$r_{n+1} = r_{n-1}e^{a-r_{n-1}-br_n}, \quad b = \frac{c_2}{\sigma_1c_1} \tag{36}$$

The positive fixed point of this equation is

$$\bar{r} = \frac{a}{1+b} = c_1\bar{x}.$$

The next result is proved in [8].

Theorem 10: Let $a \in (0, 1]$.

- (a) If $b \in (0, 1)$ (i.e. $c_2 < \sigma_1c_1$) then the positive fixed point \bar{r} of (36) is a global attractor of all of its positive solutions.
- (b) If $b = 1$ (i.e. $c_2 = \sigma_1c_1$) then every non-constant, positive solution of (36) converges to a 2-cycle whose consecutive points satisfy $r_n + r_{n+1} = a$, i.e. the mean value of the limit cycle is the fixed point $\bar{r} = a/2$.

The two-cycle in Theorem 10(b) is not unique—it is determined by the initial values. We derive the precise mechanism that explains this, and much more complex behaviour below. In particular, we extend Part (b) of Theorem 10 by showing that it holds for $a \in (0, 2]$ and even some parameters need not be constants.

3.2. Order reduction

The semiconjugate factorization method that we used earlier for linear equations also applies to (34) if the following condition holds:

$$c_{2,n} = \sigma_{1,n}c_{1,n} \quad n = 0, 1, 2, \dots \tag{37}$$

In the autonomous case this reduces to the condition in Theorem 10(b), i.e. $c_2 = \sigma_1c_1$. This condition that is restrictive but admissible in a biological sense, leads to interesting non-hyperbolic dynamics that we explore in the remainder of this paper.

If (37) holds then we substitute $r_n = c_{1,n}x_n$ in (34) to obtain

$$r_{n+1} = \frac{c_{1,n+1}}{c_{1,n-1}}r_{n-1}e^{a_n-r_{n-1}-r_n}$$

which can be written as

$$\begin{aligned} r_{n+1} &= r_{n-1}e^{d_n-r_{n-1}-r_n} \\ d_n &= a_n + \ln[c_{1,n+1}/c_{1,n-1}]. \end{aligned} \tag{38}$$

Note that if $c_{1,n}$ has period 2 or is constant then $c_{1,n+1} = c_{1,n-1}$ so $d_n = a_n$. In any case, a solution $x_n = r_n/c_{1,n}$ of (34) is derived in terms of a solution of (38) when (37) holds.

Equation (38) admits a semiconjugate factorization that splits it into two equations of order one. Using the concept of form symmetry from [15], we define

$$t_n = \frac{r_n}{r_{n-1}e^{-r_{n-1}}}$$

for each $n \geq 1$ and note that

$$t_{n+1}t_n = \frac{r_{n+1}}{r_n e^{-r_n}} \frac{r_n}{r_{n-1} e^{-r_{n-1}}} = \frac{r_{n+1}}{r_{n-1} e^{-r_{n-1}-r_n}} = e^{d_n}$$

or equivalently,

$$t_{n+1} = \frac{e^{d_n}}{t_n}. \tag{39}$$

Now

$$r_{n+1} = e^{d_n} r_{n-1} e^{-r_{n-1}} e^{-r_n} = e^{d_n} \frac{r_n}{t_n} e^{-r_n} = \frac{e^{d_n}}{t_n} r_n e^{-r_n} = t_{n+1} r_n e^{-r_n} \tag{40}$$

The pair of Equations (39) and (40) constitute the semiconjugate factorization of (38):

$$t_{n+1} = \frac{e^{d_n}}{t_n}, \quad t_0 = \frac{r_0}{r_{-1} e^{-r_{-1}}} \tag{41}$$

$$r_{n+1} = t_{n+1} r_n e^{-r_n} \tag{42}$$

Every solution $\{r_n\}$ of (38) is generated by a solution of the system (41) and (42). Using the initial values r_{-1}, r_0 we obtain a solution $\{t_n\}$ of the first-order Equation (41). This solution is then used to obtain a solution of (42), and thus also of (38).

3.3. Complex behaviour for the autonomous equation

If $p = 1$ then d_n is constant, say $d_n = d$ for all n . In this case (38) reduces to the autonomous equation:

$$r_{n+1} = r_{n-1}e^{d-r_{n-1}-r_n} \tag{43}$$

although (34) may not be autonomous, e.g. if $c_{1,n}$ has period 2, as noted above.

If $d < 0$ then Corollary 9 implies that all solutions of (43) converge to 0. Let $d > 0$ so that there is a positive fixed point

$$\bar{r} = \frac{d}{2} > 0.$$

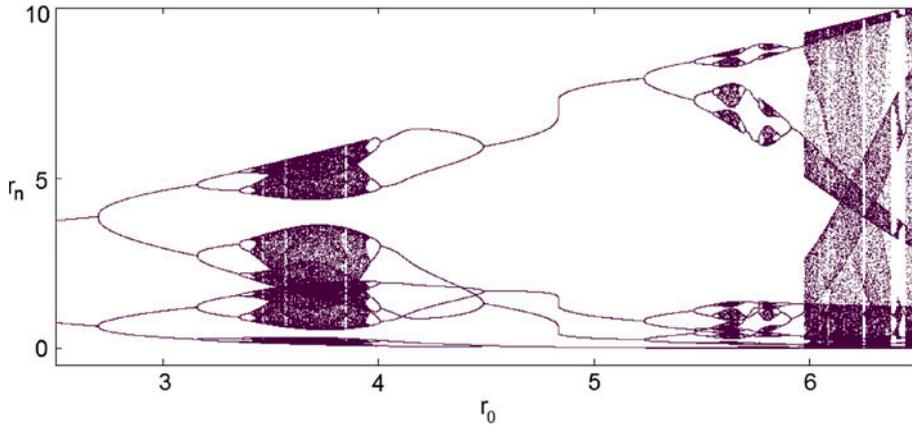


Figure 1. Bifurcation of multiple stable solutions in the state-space.

The eigenvalues of the linearization of (43) at \bar{r} are -1 and $-d/2$, showing in particular that \bar{r} is nonhyperbolic. The behaviour of solutions of (43) is sufficiently unusual that we use the numerical simulation depicted in Figure 1 to motivate the subsequent discussion.

In Figure 1, $d = 4.5$, $r_{-1} = d/2 = 2.25$ is fixed and $r_0 \in (0, \infty)$ acts as a bifurcation parameter. The changing values of r_0 are shown on the horizontal axis in the range 2.5–6.5. For every grid value of r_0 in the indicated range, 300 points of the corresponding solution $\{r_n\}$ are plotted vertically. In this figure, coexisting solutions with periods 2, 4, 8 and 16 are easily identified. The solutions shown in Figure 1 are stable since they are generated by numerical simulation, so that qualitatively different, stable solutions exist for (43) for different initial values. In the remainder of this section we explain this abundance of multistable solutions for (43) using the reduction (41) and (42).

All solutions of (41) with constant $d_n = d$ and $t_0 \neq e^{d/2}$ are periodic with period 2:

$$\left\{ t_0, \frac{e^d}{t_0} \right\} = \left\{ \frac{r_0}{r_{-1}e^{-r_{-1}}}, \frac{r_{-1}e^{d-r_{-1}}}{r_0} \right\}.$$

Hence the orbit of each nontrivial solution $\{r_n\}$ of (43) in its state-space, namely, the (r_n, r_{n+1}) -plane, is restricted to the class of curve-pairs

$$g_0(r, t_0) = t_0 r e^{-r} \quad \text{and} \quad g_1(r, t_0) = t_1 r e^{-r}, \quad t_1 = \frac{e^d}{t_0} \tag{44}$$

These one-dimensional mappings form the building blocks of the two-dimensional, standard state-space map F of (43), i.e.

$$F(u, r) = (r, u e^{d-u-r}).$$

There are, of course, an infinite number of initial value-dependent curve-pairs for the map F .

The next result indicates the specific mechanism for generating the solutions of (43) from its semiconjugate factorization.

Lemma 11: Let $d > 0$ and let $\{r_n\}$ be a solution of (43) with initial values $r_{-1}, r_0 > 0$.

(a) For $k = 0, 1, 2, \dots$ and t_0 as defined in (41)

$$r_{2k+1} = g_1 \circ g_0(r_{2k-1}, t_0), \quad r_{2k+2} = g_0 \circ g_1(r_{2k}, t_0)$$

Thus, the odd terms of every solution of (43) are generated by the class of one-dimensional maps $g_1 \circ g_0$ and the even terms by $g_0 \circ g_1$;

(b) If the initial values r_{-1}, r_0 satisfy

$$r_0 = r_{-1}e^{d/2-r_{-1}} \tag{45}$$

then $g_0(r, t_0) = g_1(r, t_0) = re^{d/2-r}$; i.e. the two curves g_0 and g_1 coincide with the curve

$$g(r) \doteq re^{d/2-r}$$

The trace of g contains the fixed point (\bar{r}, \bar{r}) in the state-space and is invariant under F .

Proof:

(a) For $k = 0, 1, 2, \dots$ (42) implies that

$$\begin{aligned} r_{2k+1} &= t_{2k+1}r_{2k}e^{-r_{2k}} = t_1r_{2k}e^{-r_{2k}} = g_1(r_{2k}, t_0) \\ r_{2k} &= t_{2k}r_{2k-1}e^{-r_{2k-1}} = t_0r_{2k-1}e^{-r_{2k-1}} = g_0(r_{2k-1}, t_0) \end{aligned}$$

Therefore,

$$r_{2k+1} = g_1(g_0(r_{2k-1}, t_0), t_0) = g_1 \circ g_0(r_{2k-1}, t_0)$$

A similar calculation shows that

$$r_{2k+2} = g_0(g_1(r_{2k}, t_0), t_0) = g_0 \circ g_1(r_{2k}, t_0)$$

and the proof of (a) is complete.

(b) Note that $g(\bar{r}) = \bar{r}e^{d/2-\bar{r}} = \bar{r}$ so the trace of g contains (\bar{r}, \bar{r}) . The curves g_0, g_1 coincide if $t_0 = e^d/t_0$, i.e. $t_0 = e^{d/2}$. This happens if the initial values r_{-1}, r_0 satisfy (45). In this case, (r_{-1}, r_0) is clearly on the trace of g and by (42)

$$r_1 = t_1r_0e^{-r_0} = \frac{e^d}{t_0}r_0e^{-r_0} = t_0r_0e^{-r_0} = g(r_0)$$

Therefore, the point (r_0, r_1) is also on the trace of g . Since $t_n = t_0$ for all n if $t_0 = e^{d/2}$ the same argument applies to (r_n, r_{n+1}) for all n and completes the proof by induction. □

Note that the invariant curve g does not depend on initial values. There is also the following useful fact about g .

Lemma 12: The mapping g has a period-three point for $d \geq 6.26$.

Proof: Let $a = d/2$. The third iterate of g is

$$g^3(r) = r \exp\left(3a - r - 2re^{a-r} + e^{a-re^{a-r}}\right)$$

In particular,

$$g^3(1) < \exp(3a - 1 - e^{a-1}) \doteq h(a)$$

Solving $h(a) = 1$ numerically yields the estimate $a \approx 3.12$. Since $h(a)$ is decreasing if $a > 2.1$ it follows that $h(a) < 1$ if $a \geq 3.13$. Therefore, $g^3(1) < 1$ for $d \geq 6.26$. Further, for $\varepsilon \in (0, a)$

$$\begin{aligned} g^3(a - \varepsilon) &> (a - \varepsilon) \exp\left[2a + \varepsilon - 2(a - \varepsilon)e^\varepsilon + e^{a(1-e^\varepsilon)}\right] \\ &> (a - \varepsilon) \exp\left[e^{-a(e^\varepsilon-1)} - 2a(e^\varepsilon - 1)\right] \end{aligned}$$

For sufficiently small ε the exponent is positive so we may assert that

$$g^3(1) < 1 < a - \varepsilon < g^3(a - \varepsilon)$$

Hence, there is a root of $g^3(r)$, or a period-three point of g in the interval $(1, a)$ if $a \geq 3.13$, i.e. $d \geq 6.26$. □

The function compositions in Lemma 11 are specifically the following mappings:

$$\begin{aligned} g_1 \circ g_0(r, t_0) &= re^{d-r-t_0re^{-r}}, \\ g_0 \circ g_1(r, t_0) &= re^{d-r-t_1re^{-r}}, \quad t_1 = \frac{e^d}{t_0}. \end{aligned}$$

To simplify our notation, for each $t \in (0, \infty)$ define the class of functions $f_t : (0, \infty) \rightarrow (0, \infty)$ as

$$f_t(r) = re^{d-r-tre^{-r}}.$$

We also abbreviate f_{t_0} as f_0, f_{t_1} as $f_1, g_0(\cdot, t_0)$ as g_0 and $g_1(\cdot, t_0)$ as g_1 . Then we see from the preceding discussion that

$$g_1 \circ g_0 = f_0, \quad g_0 \circ g_1 = f_1. \tag{46}$$

According to Lemma 11, iterations of f_0 generate the odd-indexed terms of a solution of (43) and iterations of f_1 generate the even-indexed terms.

The next result furnishes a relationship between f_i and g_i for $i = 0, 1$.

Lemma 13: *Let $t_0 \in (0, \infty)$ be fixed and $t_1 = e^d/t_0$. Then*

$$f_1 \circ g_0 = g_0 \circ f_0 \quad \text{and} \quad f_0 \circ g_1 = g_1 \circ f_1. \tag{47}$$

Proof: This may be established by straightforward calculation using the definitions of the various functions, or alternatively, use (46) to obtain

$$f_1 \circ g_0 = (g_0 \circ g_1) \circ g_0 = g_0 \circ (g_1 \circ g_0) = g_0 \circ f_0$$

This proves the first equality in (47) and the second equality is proved similarly. □

The equalities in (47) are not conjugacies since g_0 and g_1 are not one-to-one. However, the following is implied.

Lemma 14:

- (a) If $\{s_1, s_2, \dots, s_q\}$ is a q -cycle of f_0 , i.e. a solution (listed in the order of iteration) of

$$s_{n+1} = f_0(s_n) = s_n e^{d-s_n-t_0 s_n e^{-s_n}} \tag{48}$$

with minimal (or prime) period $q \geq 1$ then $\{g_0(s_1), g_0(s_2), \dots, g_0(s_q)\}$ is a q -cycle of f_1 , i.e. a solution of

$$u_{n+1} = f_1(u_n) = u_n e^{d-u_n-t_1 u_n e^{-u_n}} \tag{49}$$

with period q (listed in the order of iteration). Similarly, if $\{u_1, u_2, \dots, u_q\}$ is a q -cycle of f_1 , i.e. a solution of (49) with minimal period $q \geq 1$ then $\{g_1(u_1), g_1(u_2), \dots, g_1(u_q)\}$ is a q -cycle of f_0 , i.e. solution of (48) with period q .

- (b) If $\{s_n\}$ is a non-periodic solution of (48) then $\{g_0(s_n)\}$ is a non-periodic solution of (49). Similarly, if $\{u_n\}$ is a non-periodic solution of (49) then $\{g_1(u_n)\}$ is a non-periodic solution of (48).

Proof:

- (a) By the hypothesis, $f_0(s_{n+q}) = s_n$ for all n and in the order of iteration

$$f_0(s_k) = s_{k+1} \quad \text{for } k = 1, \dots, q-1 \quad \text{and} \quad f_0(s_q) = s_1.$$

By Lemma 13,

$$f_1(g_0(s_{n+q})) = g_0(f_0(s_{n+q})) = g_0(s_n)$$

and also

$$\begin{aligned} f_1(g_0(s_k)) &= g_0(f_0(s_k)) = g_0(s_{k+1}) \quad \text{for } k = 1, \dots, q-1, \\ f_1(g_0(s_q)) &= g_0(f_0(s_q)) = g_0(s_1) \end{aligned}$$

It follows that $\{g_0(s_1), g_0(s_2), \dots, g_0(s_q)\}$ is a periodic solution of (49) with period q , listed in the order of iteration. The rest of (a) is proved similarly.

- (b) Let $\{s_n\}$ be a solution of (48) such that $\{g_0(s_n)\}$ is a periodic solution of (49). Then $\{g_1(g_0(s_n))\}$ is a periodic solution of (48) by (a). Since $g_1(g_0(s_n)) = f_0(s_n)$ by (46) we may conclude that there is a positive integer q such that $f_0^q(s_n) = f_0(s_n) = s_{n+1}$ for all n . Thus $s_{n+1} = f_0^{q-1}(s_{n+1})$ for all n and it follows that $\{s_n\}$ is a periodic solution of (48). This proves the first assertion in (b); the second assertion is proved similarly. □

The next result concerns the local stability of the periodic solutions of (48) and (49).

Lemma 15: If $\{s_1, s_2, \dots, s_q\}$ is a periodic solution of (48) with minimal period q such that $s_k \neq 1$ for $k = 1, 2, \dots, q$ and

$$\prod_{k=1}^q f_0'(s_k) < 1 \tag{50}$$

then $\{g_0(s_1), \dots, g_0(s_q)\}$ is a solution of (49) of period q with $\prod_{k=1}^q f_1'(g_0(s_k)) < 1$. Similarly, if $\{u_1, u_2, \dots, u_q\}$ is a periodic solution of (49) with $u_k \neq 1$ for $k = 1, 2, \dots, q$ and

$$\prod_{k=1}^q f_1'(u_k) < 1$$

then $\{g_1(u_1), g_1(u_2), \dots, g_1(u_q)\}$ is a solution of (48) of period q with $\prod_{k=1}^q f_0'(g_1(u_k)) < 1$.

Proof: By Lemma 13 and the chain rule

$$f_1'(g_0(r))g_0'(r) = g_0'(f_0(r))f_0'(r)$$

Now $g_0'(r) = (1 - r)t_0e^{-r} \neq 0$ if $r \neq 1$. Thus if $s_k \neq 1$ for $k = 1, 2, \dots, q$ then

$$\begin{aligned} \prod_{k=1}^q f_1'(g_0(s_k)) &= \frac{g_0'(f_0(s_1))f_0'(s_1)}{g_0'(s_1)} \frac{g_0'(f_0(s_2))f_0'(s_2)}{g_0'(s_2)} \dots \frac{g_0'(f_0(s_q))f_0'(s_q)}{g_0'(s_q)} \\ &= \frac{g_0'(s_2)f_0'(s_1)}{g_0'(s_1)} \frac{g_0'(s_3)f_0'(s_2)}{g_0'(s_2)} \dots \frac{g_0'(s_1)f_0'(s_q)}{g_0'(s_q)} \\ &= \prod_{k=1}^q f_0'(s_k) < 1 \end{aligned}$$

The second assertion is proved similarly. □

We are now ready to explain some of what appears in Figure 1.

Theorem 16: Let $d > 0$.

- (a) Except among solutions whose initial values satisfy (45) there are no positive solutions of (43) that are periodic with an odd period.
- (b) If $d \geq 6.26$ then (43) has periodic solutions of all possible periods, including odd periods, as well as chaotic solutions in the sense of Li and Yorke.
- (c) Let $r_{-1}, r_0 > 0$ be given initial values and define t_0 by (41). Assume that $t_0 \neq e^{d/2}$ and the sequence of iterates $\{f_0^n(r_{-1})\}$ of the map f_0 converges to a minimal q -cycle $\{s_1, \dots, s_q\}$. Then the corresponding solution $\{r_n\}$ of (43) converges to the cycle $\{s_1, g_0(s_1), \dots, s_q, g_0(s_q)\}$ of minimal period $2q$ in the sense that

$$\lim_{k \rightarrow \infty} |r_{2(k+j)-1} - s_j| = \lim_{k \rightarrow \infty} |r_{2(k+j)} - g_0(s_j)| = 0 \quad \text{for } j = 1, \dots, q \quad (51)$$

- (d) If $\{s_1, \dots, s_q\}$ in (c) satisfies (50) and $s_j \neq 1$ for $j = 1, \dots, q$ then for initial values $r'_{-1} > 0$ and $r'_0 = g_0(r'_{-1})$ where $|r'_{-1} - r_{-1}|$ is sufficiently small, the sequence $\{f_0^n(r'_{-1})\}$ converges to $\{s_1, \dots, s_q\}$ and (51) holds.
- (e) Let $r_{-1}, r_0 > 0$ be given initial values and define t_0 by (41). If the sequence of iterates $\{f_0^n(r_{-1})\}$ of the map f_0 is non-periodic then (43) has a non-periodic solution.

Proof:

- (a) This statement is an immediate consequence of Lemma 11 since the number of points in a cycle must divide two, i.e. the number of curves g_0, g_1 . An exception occurs when (45) holds and the curves g_0, g_1 coincide.
- (b) Suppose that the initial values r_{-1}, r_0 satisfy (45). Then $g_0 = g_1 = g$ and the trace of g contains the orbits of (43) since the trace of g is invariant by Lemma 11. By Lemma 12 g has a period-three point if $d \geq 6.24$ and in this case, (43) has solutions with all possible periods in the state-space, including odd periods. In addition, iterates of g also exhibit chaos in the sense of [12]. For (43) this is manifested in the state-space on the trace of g if the initial point (r_{-1}, r_0) is on the trace of g . For arbitrary initial values, odd periods do not occur by (a) and chaotic behaviour generally occurs on the pair of curves g_0, g_1 ; see the Remark following this proof.
- (c) This is an immediate consequence of Lemmas 11 and 14.
- (d) If $|r'_{-1} - r_{-1}|$ is sufficiently small then Lemma 15 implies that the sequence $\{f_0^n(r'_{-1})\}$ converges to $\{s_1, \dots, s_q\}$. Now, if $r'_0 = g_0(r'_{-1})$ then $r'_0/r'_{-1}e^{r'_{-1}} = t_0$ and thus, (51) holds by Part (c).
- (e) This is clear from Lemmas 11 and 14. □

Remark 17:

- (1) Theorem 16 explains how qualitatively different solutions in Figure 1 are generated by different initial values. Changes in the initial value r_0 of (43) while r_{-1} is fixed result, by (41) in changes in the parameter value t_0 in the mapping f_0 . The one-dimensional map f_0 generates different types of orbits with different values of t_0 in the conventional way that is explained by the basic theory. All of these orbits, combined with the iterates of the shadow map f_1 appear in the state-space of (43) as points on the aforementioned pair of curves.
- (2) Part (d) of Theorem 16 explains the sense in which the solutions of (43) are stable and therefore appear as shown in Figure 1. This is not local or linearized stability since if $r'_0 \neq g_0(r'_{-1})$ then

$$t'_0 = \frac{r'_0}{r'_{-1}e^{-r'_{-1}}} \neq t_0$$

and with the different parameter value t'_0 , $\{f_0^n(r'_{-1})\}$ may not converge to $\{s_1, \dots, s_q\}$ even if $|r'_{-1} - r_{-1}|$ is small enough to imply local convergence for the iterates of f_0 defined with the original value t_0 .

- (3) In Parts (a) and (b) of Theorem 16 if the initial point is not on the trace of g then the occurrence of all possible even periods and chaotic behavior is observed for smaller values of d . In fact, since g involves $d/2$ but f_0 involves d it follows that f_0 actually has period 3 points for $d \geq 3.13$ if the initial values yield a sufficiently small value of t_0 . In Figure 2 a stable three-cycle is identified for $d = 3.6$ and initial values satisfying $r_0 = r_{-1}e^{-r_{-1}}$ (so that $t_0 = 1$). Odd periods do not occur for (43) in this case but all possible even periods, as well as chaotic behaviour (on curve-pairs) do occur.

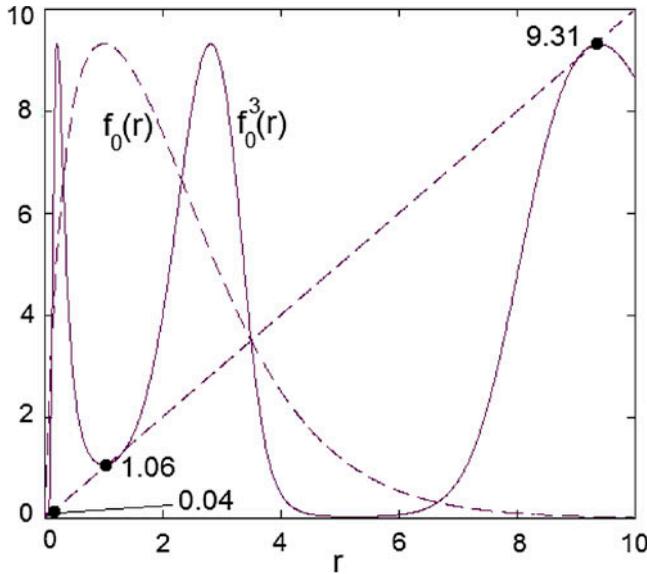


Figure 2. Occurrence of period 3 for the associated interval map.

3.4. Further results: convergence to two-cycles

The preceding results indicate that the solutions of (48) and (49) determine the solutions of (43). From Theorem 16 it is evident that complex behaviour tends to occur when d is sufficiently large. Otherwise, the solutions of (43) tend to behave more simply as noted in Theorem 10. We now consider the occurrence of two-cycles for a range of values of d that are not too large but extend the range in Theorem 10(b), by examining the following first-order difference equation that is derived from (48) and (49)

$$r_{n+1} = r_n e^{d-r_n-\gamma r_n e^{-r_n}}, \quad \gamma > 0 \tag{52}$$

Lemma 18: *If $0 < d \leq 2$ then (52) has a unique positive fixed point \bar{x} .*

Proof: Existence: Let $\eta(x) = d - x - \gamma x e^{-x}$. The nonzero fixed points of (52) must satisfy $e^{\eta(x)} = 1$, i.e. $\eta(x) = 0$. Since $\eta(0) = d > 0$ and $\eta(d) = -\gamma d e^{-d} < 0$ there is a real number $\bar{x} \in (0, d)$ such that $\eta(\bar{x}) = 0$. This proves existence.

Uniqueness: Note that $\eta'(x) = -1 - \gamma e^{-x} + \gamma x e^{-x}$.

Case 1 $\gamma \leq e$; The function $x e^{-x}$ is maximized on $(0, \infty)$ at $h(1) = e^{-1}$ so

$$\eta'(x) = -1 - \gamma e^{-x} + \gamma x e^{-x} \leq -1 + 1 - \gamma e^{-x} = -\gamma e^{-x} < 0$$

It follows that $\eta(x)$ is decreasing on $(0, \infty)$ for this case and has a unique zero that occurs at \bar{x} .

Case 2 $e < \gamma < e^2$; Consider the function $p(x) = x + \gamma x e^{-x}$. Now

$$p'(x) = 1 + \gamma e^{-x} - \gamma x e^{-x} = e^{-x}(e^x + \gamma - \gamma x)$$

The function $q(x) = e^x + \gamma - \gamma x$ attains a minimum value at $x = \ln(\gamma)$ since $q'(x) = e^x - \gamma$. Furthermore,

$$q(\ln(\gamma)) = 2\gamma - \gamma \ln(\gamma) = \gamma(2 - \ln(\gamma)) > 0$$

for $\gamma < e^2$. This implies that $p'(x) > 0$ on $(0, \infty)$ and therefore $p(x)$ is increasing on $(0, \infty)$. Since $\eta(x) = d - p(x)$, this implies that $\eta(x)$ is decreasing on $(0, \infty)$ and therefore it has a unique zero that occurs at \bar{x} .

Case 3 $\gamma > e^2$; In this case, we know that $\eta(x)$ is decreasing on $[0, 1]$ and $\eta(x) < 0$ for $x \in [d, \infty)$. Thus it remains to establish that $\eta(x) < 0$ on $(1, d)$.

$$\eta(x) = d - x - \gamma x e^{-x} < d - 1 - e^{2-x} < d - 2 \leq 0$$

Thus $\eta(x)$ has a unique zero that occurs at \bar{x} and this completes the proof for all the above cases. □

The above observations also indicate that $\eta(x) > 0$ for $x \in (0, \bar{x})$ and $\eta(x) < 0$ for $x \in (\bar{x}, \infty)$, which we will use in our further analysis. Before examining the stability profile of \bar{x} , we need to explore the properties of the function $f(x)$.

Since $f(x) = x e^{d-x-\gamma x e^{-x}} = x e^{\eta(x)}$, then $f'(x) = e^{\eta(x)} + x \eta'(x) e^{\eta(x)}$. By direct calculations, $f'(x)$ can be written as

$$f'(x) = e^{\eta(x)}(1 - x)(1 - \gamma x e^{-x})$$

It follows that f has critical points when $x = 1$ and $1 - \gamma x e^{-x} = 0$. Now we consider the function $\phi(x) = 1 - \gamma x e^{-x}$, which has a critical point at $x = 1$, since $\phi'(x) = \gamma e^{-x}(1 - x)$. Hence it is decreasing on $(0, 1)$ and increasing on $(1, \infty)$ and $\phi(1) = 1 - \frac{\gamma}{e}$ is the minimum of the function.

(i) When $\gamma < e$, then $\phi(1) > 0$, so $\phi(x) > 0$ on $(0, \infty)$, hence $f(x)$ has only one critical point at $x = 1$. When $\gamma = e$, $\phi(1) = 0$, and again, the only critical point of $f(x)$ occurs at $x = 1$. We further break down the case of $\gamma \leq e$ into the following subcases:

(a) When $d < 1 + \frac{\gamma}{e}$, $\eta(1) = d - 1 - \frac{\gamma}{e} < 0$, thus $\bar{x} < 1$. Moreover, $f(1) = d - 1 - \frac{\gamma}{e} < 1$, which lets us conclude that $f(x) < 1$ for all $x \in (0, \infty)$.

(b) When $d \geq 1 + \frac{\gamma}{e}$, $\eta(1) = d - 1 - \frac{\gamma}{e} \geq 0$. This implies that $\bar{x} > 1$ if $d > 1 + \frac{\gamma}{e}$ and $\bar{x} = 1$ if $d = 1 + \frac{\gamma}{e}$.

(ii) When $\gamma > e$, $\phi(1) < 0$, so $f(x)$ has three critical points at $x' < 1, x' = 1, x'' > 1$.

On $(0, x')$, $1 - x > 0$ and $\phi(x) > 0$, so f is increasing. On $(x', 1)$, $1 - x > 0$ and $\phi(x) < 0$, so f is decreasing. On $(1, x'')$, $1 - x < 0$ and $\phi(x) < 0$, so f is increasing. On (x'', ∞) , $1 - x < 0$ and $\phi(x) > 0$, so f is decreasing. By the above observations, it follows that x', x'' are local maxima and 1 is a minimum point. Next observe that

$$f(1) = e^{2-1-\frac{\gamma}{e}} < 1$$

Given that $\gamma x' e^{-x'} = \gamma x'' e^{-x''} = 1$,

$$f(x') = x' e^{d-x'-\gamma x' e^{-x'}} = x' e^{d-x'-1} < x' e^{2-x'-1} = x' e^{1-x'}$$

Similarly, $f(x'') < x''e^{1-x''}$. Now, the function $s(x) = xe^{1-x}$ attains its maximum at $x = 1$, since $s'(x) = (1 - x)e^{1-x}$. Since $s(1) = 1$, this implies that $s(x) < 1$ for all $x \neq 1, x > 0$. This implies that $f(x'), f(x'') < 1$ as well, thus for this case $f(x) < 1$ for all $x \in (0, \infty)$.

Now we establish the global stability of \bar{x} .

Lemma 19: *If $0 < d \leq 2$ then every solution to (52) from positive initial values converges to \bar{x} .*

Proof: We establish convergence to \bar{x} by showing that $|f(x) - \bar{x}| < |x - \bar{x}|$ for $x \neq \bar{x}$. This is equivalent to

$$x < f(x) < 2\bar{x} - x \text{ for } x < \bar{x} \tag{53a}$$

$$x > f(x) > 2\bar{x} - x \text{ for } x > \bar{x} \tag{53b}$$

The first inequalities in (53a) and (53b) are straightforward to establish: since $\eta(x) > 0$ for $x < \bar{x}$ and $\eta(x) < 0$ for $x > \bar{x}$, then $f(x) = xe^{\eta(x)} > x$ if $x < \bar{x}$ and $f(x) = xe^{\eta(x)} < x$ if $x > \bar{x}$.

To establish the second inequalities in (53a) and (53b), let

$$t(x) = f(x) + x - 2\bar{x}$$

Notice that $t(0) = -2\bar{x} < 0$ and $t(\bar{x}) = 0$. We now show that the inequalities $f(x) < 2\bar{x} - x$ for $x < \bar{x}$ and $f(x) > 2\bar{x} - x$ for $x > \bar{x}$ are equivalent to $t(x) < 0$ for $x < \bar{x}$ and $t(x) > 0$ for $x > \bar{x}$, respectively. We establish this by showing that $t(x)$ is strictly increasing on $(0, \infty)$, i.e.

$$t'(x) = f'(x) + 1 > 0 \text{ for } x > 0$$

We establish the above result by considering two cases:

Case 1 $\gamma \leq e$; recall that $f(x)$ is maximized at the unique critical point $x = 1$. Thus $f'(x) > 0$ for $x < 1$ and $f'(x) < 0$ for $x > 1$. We also showed that $1 - \gamma xe^{-x} > 0$ for $x > 0$. Thus for all $x > 1$, since $d \leq 2$

$$\begin{aligned} |f'(x)| &\leq e^{2-x-\gamma xe^{-x}}(x-1)(1-\gamma xe^{-x}) \\ &= (x-1)e^{1-x}e^{1-\gamma xe^{-x}}(1-\gamma xe^{-x}) \\ &< e^{-1}e^{1-\gamma xe^{-x}}(1-\gamma xe^{-x}) \\ &= e^{-\gamma xe^{-x}}(1-\gamma xe^{-x}) < 1 \end{aligned}$$

i.e. $t'(x) > 0$ for $x > 0$ and inequalities in (53a) and (53b) follow.

Case 2 $\gamma > e$; in this case, $f(x)$ has three critical points occurring at $x' < 1, 1$ and $x'' > 1$, where x' and x'' are maxima and 1 is a minimum. Thus

$$\begin{aligned} f'(x) &> 0 \text{ and } 1 - \gamma xe^{-x} > 0 \text{ for } x \in (0, x') \\ f'(x) &< 0 \text{ and } 1 - \gamma xe^{-x} < 0 \text{ for } x \in (x', 1) \\ f'(x) &> 0 \text{ and } 1 - \gamma xe^{-x} < 0 \text{ for } x \in (1, x'') \\ f'(x) &< 0 \text{ and } 1 - \gamma xe^{-x} > 0 \text{ for } x \in (x'', \infty) \end{aligned}$$

Thus $f'(x) < 0$ if either $x < 1$ and $1 - \gamma xe^{-x} < 0$ or $x > 1$ and $1 - \gamma xe^{-x} > 0$. If $x < 1$ and $1 - \gamma xe^{-x} < 0$, then

$$\begin{aligned} |f'(x)| &\leq e^{2-x-\gamma xe^{-x}} (1-x)(\gamma xe^{-x} - 1) \\ &= (\gamma xe^{-x} - 1)e^{1-\gamma xe^{-x}} e^{1-x}(1-x) \\ &< e^{-1} e^{1-x}(1-x) \\ &= e^{-x}(1-x) < 1 \end{aligned}$$

If $x > 1$ and $1 - \gamma xe^{-x} > 0$, then

$$\begin{aligned} |f'(x)| &\leq e^{2-x-\gamma xe^{-x}} (x-1)(1-\gamma xe^{-x}) \\ &= (x-1)e^{1-x}(1-\gamma xe^{-x})e^{1-\gamma xe^{-x}} \\ &< e^{-1} e^{1-\gamma xe^{-x}} (1-\gamma xe^{-x}) \\ &= e^{-\gamma xe^{-x}} (1-\gamma xe^{-x}) < 1 \end{aligned}$$

In either case, if $f(x)$ is decreasing then $-1 < f'(x) < 0$, thus $t'(x) = f'(x) + 1 > 0$, thus $t(x)$ is increasing for $x > 0$, from which the second inequalities in (53a) and (53b) follow. □

By Lemmas 11 and 19, the even and odd terms of (43) converge to $M = \bar{x}_{i_0} > 0$ and $m = \bar{x}_{i_1} > 0$, proving the existence and stability of a two-cycle in the sense described in Theorem 16(c). Since M and m must satisfy

$$m = Me^{d-M-m} \quad \text{and} \quad M = me^{d-m-M}$$

and

$$Mm = mMe^{2d-2(M+m)} \quad \text{i.e.} \quad e^{2d-2(M+m)} = 1$$

we conclude that $M + m = d$. Thus the following extension of Theorem 10(b) is obtained.

Theorem 20: *Let $0 < d \leq 2$. Then every non-constant, positive solution of (43) converges, in the sense of Theorem 16(c), to a two-cycle $\{\rho_1, \rho_2\}$ that satisfy $\rho_1 + \rho_2 = d$, i.e. the mean value of the limit cycle is the fixed point $\bar{r} = d/2$.*

As previously mentioned, (43) is valid when $c_{1,n} > 0$ has period 2. In this case, the solution of (34) corresponding to $\{r_n\}$ of (43) is $x_n = r_n/c_{1,n}$ which also converges to a sequence of period 2. Thus we have the following corollary.

Corollary 21: *Assume in the system (31) and (32) that $\sigma_{1,n} = \sigma_1$, $\alpha_n = \alpha$, $\beta_n = \beta$ are positive constants and $c_{2,n} = \sigma_1 c_{1,n}$ for all n where $c_{1,n}$ has period two with $c_{1,2k-1} = \xi_1$ and $c_{1,2k} = \xi_2$ where $\xi_1, \xi_2 > 0$.*

(a) *If $\alpha + \ln(\sigma_1\beta) \in (0, 2]$ then every orbit $\{(x_n, y_n)\}$ is determined as*

$$x_n = \frac{r_n}{c_{1,n}}, \quad y_n = \frac{r_{n+1}}{\sigma_1 c_{1,n+1}}.$$

(b) *Every orbit in the positive quadrant converges to a two-cycle*

$$\left\{ \left(\frac{\rho_1}{\xi_1}, \frac{\rho_2}{\sigma_1 \xi_2} \right), \left(\frac{\rho_2}{\xi_2}, \frac{\rho_1}{\sigma_1 \xi_1} \right) \right\}$$

where $\rho_i = \lim_{k \rightarrow \infty} r_{2k-i}$ for $i = 1, 2$ and $\rho_1 + \rho_2 = \alpha + \ln(\sigma_1\beta)$.

3.5. A concluding remark on multistability

We finally mention a feature of (43) that may make its multistable nature less surprising. Consider the following class of nonautonomous first-order equations

$$x_{n+1} = x_n e^{\gamma_n - \theta_n x_n}$$

where γ_n, θ_n are given sequences of period 2 with $\theta_n > 0$ for all n . The change of variable $u_n = \theta_n x_n$ reduces this equation to

$$u_{n+1} = u_n e^{c_n - u_n}, \quad c_n = \gamma_n + \ln \frac{\theta_{n+1}}{\theta_n} \tag{54}$$

This equation can be written as

$$u_{n+1} = u_{n-1} e^{c_{n-1} + c_n - u_{n-1} - u_n}$$

Since c_n has period 2, the sum $c_{n-1} + c_n = d$ is a constant and (43) is obtained.

If $r_{-1} = u_0$ and $r_0 = u_1 = u_0 e^{c_0 - u_0}$ then the corresponding solution of (43) is the solution of (54) with the arbitrary initial value u_0 . Therefore, all solutions of (54) appear among the solutions of (43) but not conversely. In fact, if c'_n is any other sequence of period 2 such that $c'_n + c'_{n-1} = d$ then while

$$u_{n+1} = u_n e^{c'_n - u_n}$$

is a different equation than (54), it yields exactly the same second-order Equation (43). Hence, the following assertion is justified:

Proposition 22: *The solutions of (43) include the solutions of all first-order equations of type (54) with $c_n + c_{n-1} = d$.*

The coexistence of solutions of so many different first-order equations among the solutions of (43) is a further indication of the diversity of solutions that the latter may exhibit.

4. Conclusion and future directions

In this paper we examine the dynamics of the non-autonomous system (1) and (2) whose special cases appear in stage-structured models of populations that are of Ricker type, or overcompensatory. In Section 2 we obtain conditions that imply uniform boundedness as well as global convergence to zero with variable parameters. In biological models these results give general conditions for the species' extinction. We have also shown that in periodic environments certain stocking strategies do not prevent extinction.

In Section 3 we study the dynamics of a special case of the system that is mathematically interesting. We use semiconjugate factorization to show that in a wider range of parameters than what is considered in [8] complex and multistable behaviour occurs. Multistability of

periodic and non-periodic solutions is possible because such solutions are attracting, yet neither locally nor globally asymptotically stable.

The results in Section 3 concern Equation (43) which is autonomous (even if the system is not). For future investigation one may consider the more general, non-autonomous Equation (38) with periodic d_n . Preliminary work on this periodic case shows that the dynamics of (38) where d_n has an odd period (including the autonomous case $p = 1$) is substantially and qualitatively different from the case where d_n has an even period.

Another generalization of (43), namely the autonomous equation

$$r_{n+1} = r_{n-1}e^{d-br_{n-1}-cr_n} \tag{55}$$

where $b, c > 0$ exhibits different dynamics than (43) when $b \neq c$. In particular, we expect that multistable orbits will not occur although complex behaviour is possible. There is currently no comprehensive study of the dynamics of (55) that we are aware of so obtaining significant details on the dynamics of this equation would be desirable.

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